

# Programming Nanotechnology: Learning from Nature

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## Abstract

For many decades, nanotechnology has been developed with cooperation from researchers in several fields of studies including physics, chemistry, biology, material science, engineering, and computer science. In this chapter, we explore the nanotechnology development community and identify the needs and opportunities of computer science research in nanotechnology. In particular we look at methods for programming future nanotechnology, examining the capabilities offered by simulations and intelligent systems. This chapter is intended to benefit computer scientists who are keen to contribute their works to the field of nanotechnology and also nanotechnologists from other fields by making them aware of the opportunities from computer science. It is hoped that this may lead to the realisation of our visions.

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# 1. Introduction

In 1959, Richard Feynman, a future Nobel Laureate, gave a visionary talk entitled “There’s Plenty of Room at the Bottom”<sup>1</sup> on miniaturisation to nanometre-scales. Later, the work of Drexler [1,2] also gave futuristic visions of nanotechnology. Feynman and Drexler’s visions inspired many researchers in physics, material science, chemistry, biology and engineering to become nanotechnologists. Their visions were fundamental: since our ancestors made flint axes, we have been improving our technology to bring convenience into our everyday life. Today a computer can be carried with one hand—40 years ago a computer (hundreds of times slower) was the size of a room. Miniaturisation of microprocessors is currently in process at nanometre-scales [3]. Yet, the style of our modern technology is still the same as ancient technology that constructed a refined product from bulk materials. This style is referred to as *bulk or top-down technology* [1]. As conventional methods to miniaturise the size of transistors in silicon microprocessor chips will soon reach its limit<sup>2</sup> and the modification of today’s top-down technology to produce nanoscale structures is difficult and expensive [3], a new generation of computer components will be required. Feynman and Drexler proposed a new style of technology, which assembles individual atoms or molecules into a refined product [1]. This Drexler terms *molecular technology* or *bottom-up technology* [1]. This bottom-up technology could be the answer for the computer industry. Though top-down technology currently remains the choice for constructing mass-produced devices, nanotechnologists are having increasing success in developing bottom-up technology [3].

<sup>1</sup> For more information, see <http://www.zyvex.com/nanotech/feynman.html>.

<sup>2</sup> From <http://science.howstuffworks.com/nanotechnology2.htm>.

1 There are some concerns regarding emergent bottom-up technology. First, the  
2 laws of physics do not always apply at nanometre-scales [4]. The properties of matter  
3 at nanometre-scales are governed by a complex combination of classical physics  
4 and quantum mechanics [4]. Nevertheless, bottom-up fabrication methods have been  
5 successfully used to make nanotubes and quantum dots [3]. These methods are not  
6 yet suitable for building complex electronic devices such as computer processors,  
7 not to mention nanoassemblers that can make copies of themselves and work together  
8 at a task. Furthermore, and significantly, once knowledge of nanotechnology  
9 is advanced and real-world nanoassemblers are realised, they must be properly controllable  
10 to prevent any threats to our world.

11 More recently computer science has become involved in nanotechnology. Such  
12 research is wide ranging and includes: software engineering, networking, Internet  
13 security, image processing, virtual reality, human-machine interface, artificial  
14 intelligence, and intelligent systems. Most work focuses on the development of  
15 research tools. For example, computer graphics and image processing have been  
16 used in nanomanipulators that provide researchers an interactive system interface  
17 to scanning-probe microscopes, which allow us to investigate and manipulate the  
18 surface at atomic scales<sup>3</sup> [5,6]. In addition, genetic algorithms have been used as a  
19 method in automatic system design for molecular nanotechnology [7].

20 Computer science offers more opportunities for nanotechnology. Soft Computing  
21 techniques such as swarm intelligence, genetic algorithms and cellular automata can  
22 enable systems with desirable emergent properties, for example growth, self-repair,  
23 and complex networks.<sup>4</sup> Many researchers have successfully applied such techniques  
24 to real-world problems including complex control systems in manufacturing plants  
25 and air traffic control.<sup>4</sup> With some modifications towards nanotechnology characteristics,  
26 these techniques can be applied to control a swarm of a trillion nanoassemblers  
27 or nanorobots (once realised). It is anticipated that soft computing methods such as  
28 these will overcome concerns about implications of nanotechnology, and prevent the  
29 notorious scenario of self-replicating nanorobots multiplying uncontrollably.

30 This chapter reviews nanotechnology from different points of view in different  
31 research areas. We discuss the development of the field at the present time, and examine  
32 some concerns regarding the field. We then focus on the needs and benefits of  
33 computer science for nanotechnology, as well as existing and future computer science  
34 research for nanotechnology. The second half of this chapter introduces the area  
35 of swarm intelligence and then summarises investigations into how nanotechnology  
36 and self-assembling devices may be controlled by such techniques.

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38  
39 <sup>3</sup> For more information, see <http://www.cs.unc.edu/Research/nano/cisimm/nm/index.html>.

40 <sup>4</sup> From <http://www.nanotec.org.uk/evidence/92aUKCRC.htm>.

## 2. Development in Nanotechnology

To describe Feynman's grand visions that have inspired many researchers in several fields of study, Drexler<sup>5</sup> introduced the term "Nanotechnology" and "Molecular Engineering" in his book, "Engines of Creation" [1]. He explored and characterised an extensive view of Feynman's visions in many aspects including potential benefits and possible dangers to humanity. According to the vision, building products with atomic precision by bottom-up technology could offer a dramatic widespread of potential and a decrease in environmental impact which would improve our way of life. A simple example of potential benefits from nanotechnology is that information stored on devices could be packed into much smaller spaces so that less pollution from discarding those devices would be produced. The aspect that would be directly beneficial to humankind is nanomedicine, which involves medical research at nanoscale [1,8]. For example, a group of programmable nanorobots that could flow along our bloodstreams without harm to our bodies could be injected to treat our bodies from within.

Nanotechnology has indeed promised a great future for humanity. However, the down side of the technology should not be neglected. Drexler suggested the potential threats to life on Earth of uncontrollably replicating assemblers [1]. In order to prevent any threat to society, it is crucial that nanotechnology is developed under acceptable standards with regard to ethical and social considerations. Recently, the Foresight Institute, which is a non-profit organisation for nanotechnology, gave version 4.0 of its guidelines as a self-assessment list for research and development in the field of nanotechnology.<sup>6</sup> The Science Media Centre has also produced a document describing nanotechnology for use by the media.<sup>7</sup> Today nanotechnology is gaining public attention. Many companies have been doing research and development in nanotechnology for commercial purposes. The governments of several countries have begun funding for research in this area. This recent development of nanotechnology is described further in the following sections.

### 2.1 Nanomanipulators

One important concept of nanotechnology is building products using bottom-up technology. Instead of sculpting bulk materials into desired products, bottom-up technology suggests a new method that assembles individual atoms into products. The first step to bottom-up technology is to acquire the ability to manipulate individual atoms at the scale of nanometres as desired. Therefore, the development of a

<sup>5</sup> From <http://www.foresight.org/FI/Drexler.html>.

<sup>6</sup> For more information, see <http://www.foresight.org/guidelines/current.html#Principles>.

<sup>7</sup> For more information, see <http://www.sciencemediacentre.org/nanotechnology.htm>.

1 nanomanipulator, which is a tool for manipulating nanoscopic materials, is seen by 1  
2 some as being crucial to the progress of nanotechnology. 2

3 The first imaging in nanoscale was from the electron microscope developed by 3  
4 M. Knoll and E. Ruska in 1931 [9]. Later in 1981, G. Binnig and H. Rohrer invented 4  
5 the scanning tunnelling microscope (STM)<sup>8</sup> that can image individual atoms, and 5  
6 earned the Nobel Prize [9]. The success of the scanning tunnelling microscope leads 6  
7 to the development of other scanning probe microscopes (SPM) including the atomic 7  
8 force microscope (AFM). Instead of using lenses like traditional microscopes, all 8  
9 these scanning probe microscopes use a probe to scan atoms over the surface, mea- 9  
10 sure a local property and result the image. Different types of scanning probe devices 10  
11 are designed for different tasks. For example, the STM is only appropriate when the 11  
12 material conducts electricity, while the AFM can work with non-conducting materi- 12  
13 als. 13

14 Apart from resembling a surface at atomic scale into a high-resolution image, 14  
15 scanning probe microscopes can be used to manipulate individual atoms. In 1990, 15  
16 D.M. Eigler of IBM used an STM to precisely place xenon atoms on a nickel plate 16  
17 into the name “IBM”<sup>9</sup> [10,2]. In 1993, W. Robinett and R.S. Williams developed 17  
18 a virtual reality system that allowed user to see and touch atoms via the scanning 18  
19 tunnelling microscope [9,11]. This was the beginning of a nanomanipulator.<sup>10</sup> At 19  
20 the University of North Carolina, another nanomanipulator has been developed in 20  
21 a multi-disciplinary project involving in the collaboration of several departments 21  
22 including computer science, physics and chemistry.<sup>11</sup> This nanomanipulator is a 22  
23 virtual-reality interface to scanning probe devices. Using technology in computer 23  
24 graphics, the features that are faint in the image can be enhanced. The system allows 24  
25 scientists to investigate and manipulate the surface of materials at the atomic scale. 25  
26 As a result, it has led to new discoveries in biology, material science and engineer- 26  
27 ing.<sup>11</sup> For example, scientists have used the nanomanipulator system to examine the 27  
28 mechanical and electrical properties of carbon nanotubes [6]. Nanomanipulators are 28  
29 now commercially available. However, the ability to manipulate individual atoms 29  
30 alone could not yet enable us to build reliable nanomachines, unless the physical 30  
31 principles at nanoscales are comprehended. 31  
32 32

33 <sup>8</sup> The STM can show surface topography imaging in high resolution by scanning its electrically 33  
34 conducting tip over a surface at the distance of a few atoms. The STM measures the electrical current called 34  
35 the *tunnelling current* between the tip and each point of the surface. As the distance between the tip and 35  
36 the surface changes, the tunnelling current is altered. The STM adjusts the vertical position of the tip to 36  
37 maintain a constant distance to the surface. These adjustments are recorded as a grid of values and finally 37  
38 transformed into image. For more information, see <http://www.almaden.ibm.com/vis/stm/>. 38

39 <sup>9</sup> See the picture at <http://www.almaden.ibm.com/vis/stm/atomo.html#stm10>. 39

40 <sup>10</sup> From <http://www.cs.unc.edu/Research/nano/cisimm/nm/index.html>. 40

<sup>11</sup> For more information, see <http://www.cs.unc.edu/Research/nano/cisimm/nm/>.

## 2.2 Nanofabrication

After scientists have gained the ability to manipulate individual atoms directly, the next step is to manufacture structures at nanometre scale, i.e., structures smaller than 100 nanometres across. In this section, we discuss nanofabrication methods, which can be divided into two categories: top-down methods and bottom-up methods [3]. Akin to the concept of technology styles discussed previously, the top-down methods involve carving out or adding a small number of molecules to a surface, while the bottom-up methods assemble atoms or molecules into nanostructures.

A top-down method that has been used in the electronics industry is photolithography. Photolithography is the process that transfers the geometric shape on a mask to the surface of a silicon wafer by exposure to UV light through lenses. The computer industry uses this technology to fabricate microprocessor chips [3]. However, the use of photolithography to fabricate nanostructures is limited by the wavelength of the UV light. One modification can be made by using electron-beam lithography, which is a technique for creating fine patterns on a thin polymer film with a beam of electrons [3,12]. Because electron-beam lithography is very expensive and slow, the development of soft lithography, which is a process that creates elastic stamp in order to transfer structures to a surface, allows researchers to reproduce patterns inexpensively in a wide range of materials. Nevertheless, this technique is not yet ideal for manufacturing complex multi-layered structure electronic devices. The need for methods to fabricate complex nanostructures that are simpler and less expensive has stimulated researchers to explore unconventional approaches.

Another top-down method involves using the scanning probe microscopes that were used to manipulate individual atoms to spell IBM. Researchers can manipulate atoms with an STM in three modes: pushing, pulling and sliding. Apart from mechanical manipulation, the STM can be used to assist in fabrication by chemistry catalysing. In 1995, W.T. Muller et al. proposed a method to use scanning probe microscopes in nanofabrication [13]. They used a platinum-coated AFM tip to scan over the surface coated with a monolayer of azide ( $-N_3$ ) compounds. As a result, amino groups are formed by catalytic conversions of azide and can be used to generate more complex structures. Another nanofabrication method using scanning probe devices was introduced by E.S. Snow and P.M. Campbell [14]. Their technique was to add a bias current to the AFM tip and monitor the electrical resistance of the structure during the fabrication process. When the target resistance is reached, the bias is switched off. This innovative feedback mechanism has been modified and used in later research. Recently, F. Rosei et al. proposed a novel nanofabrication method for metal structures [15]. This method uses organic molecules as templates for the rearrangement of copper atoms on a surface. At low temperature where the copper atoms are static, the template molecules can be moved away without damaging the copper surface by precisely controlling the STM tip. For more information on using

1 the scanning probe devices in fabrication, a review by S.W. Hla and K.H. Rieder is  
2 recommended<sup>12</sup> [16].

3 In contrast, bottom-up methods are truly representing a new style of technol-  
4 ogy. Although the advancement of the bottom-up methods may not yet be suitable  
5 for the production of electronic devices or allow us to replace conventional top-up  
6 methods in fabrication, researchers can inexpensively assemble atoms and molecules  
7 into nanostructures with dimensions between 2 and 10 nanometres by self-assembly  
8 chemical reactions. One innovation created with a bottom-up method is a carbon  
9 nanotube discovered by S. Iijima of NEC in 1991 [17,9]. A carbon nanotube is a  
10 tube-shaped carbon material that is measured in nanometre scales. It became the  
11 fifth type of solid-state carbon<sup>13</sup> after diamond structures, graphite structures, non-  
12 crystalline structures and fullerene molecules or buckyballs, which were discovered  
13 by R.F. Curl et al. in 1985 [9]. Since then, researchers have been studying the proper-  
14 ties and characteristics of carbon nanotubes. Different structures of carbon nanotubes  
15 varying in length, thickness, type of spiral and number of layers have been developed  
16 for various purposes. Recently, NEC announced the world's first compact fuel cell  
17 for mobile devices that uses spiral-shaped carbon nanotubes or nanohorns for the  
18 electrodes.<sup>14</sup> Carbon nanotubes are expected to be a key material in the future.

19 Another new material, quantum dots, is made by bottom-up methods. Quantum  
20 dots are crystals that emit only one wavelength of light when their electrons are ex-  
21 cited. Because the electrical, magnetic and optical properties of the dot are regulated  
22 by the size of the dot, the production of quantum dots must maintain their size and  
23 composition [3]. The size of the dots can be selected by varying the amount of time  
24 for the chemical reaction. The emission of light by quantum dots could be used in  
25 medicine as a biological marker [3]. Alternatively, quantum dots could be used as  
26 quantum bits and to form the basis of computers.<sup>15</sup>

## 28 2.3 Nanocomputers

29 In 1965, G. Moore, the co-founder of Intel, predicted a trend that the number of  
30 transistors contained in a microprocessor chip would double approximately every  
31 18 months. This became known as Moore's law. As exemplified in Intel's chips,<sup>16</sup>  
32 the prediction appears surprisingly correct. However, without the development of  
33 nanotechnology researchers will struggle to meet the prediction of Moore's law.  
34  
35

36 <sup>12</sup> From <http://www.imm.org/Reports/Rep040.html>.

37 <sup>13</sup> For more information, see <http://www.labs.nec.co.jp/Eng/innovative/E1/02.html>.

38 <sup>14</sup> For more information, see [http://www.smalltimes.com/document\\_display.cfm?document\\_id=7563](http://www.smalltimes.com/document_display.cfm?document_id=7563).

39 <sup>15</sup> For more information, see <http://news.uns.purdue.edu/html4ever/010917.Chang.quantum.html>.

40 <sup>16</sup> For more information, see <http://www.intel.com/research/silicon/mooreslaw.htm>.

1 One of the first achievements in nanocomputer research was perhaps the develop- 1  
2 ment of single-electron tunnelling (SET) transistors by D. Averin and K. Likharev 2  
3 in 1985.<sup>17</sup> Later in 1987, T.A. Fulton and G.J. Dolan at Bell Laboratories fabricated 3  
4 single-electron transistors and made an observation on the quantum properties and 4  
5 effects of electrons when transistors are in operation [18]. As techniques in nanofab- 5  
6 rication advances, researchers have successfully created electronic components in- 6  
7 cluding transistors, diodes, relays and logic gates from carbon nanotubes [19,20]. 7  
8 The next step is providing the interconnection between components. Researchers 8  
9 have been working on a different type of nanoscale wire called a semiconductor 9  
10 nanowire and studied how to interconnect and integrate the components [19]. The 10  
11 final step to build a computer processor is to fabricate the designed circuit. Recently, 11  
12 the semiconductor industry has successfully built 70-megabit memory chips contain- 12  
13 ing over half billion transistors.<sup>18</sup> As the advancement in nanofabrication progresses, 13  
14 the silicon-based nanocomputer becomes closer to reality. 14

15 Another approach to nanocomputers is DNA computing. Deoxyribonucleic acid 15  
16 (DNA) is a nucleic acid that carries genetic information for the biological devel- 16  
17 opment of life. In 1994, L. Adleman introduced the idea of solving a well-known 17  
18 complex mathematical problem, called the travelling salesman problem, by using 18  
19 DNA [21]. His DNA computer showed that DNA could indeed be used to calculate 19  
20 complex mathematics; however, it is not yet comparable to conventional computer 20  
21 in terms of speed and ease of use. Nevertheless, his work has encouraged the devel- 21  
22 opment in DNA computing. In 1997, researchers at the University of Rochester 22  
23 built DNA logic gates, another step towards a DNA computer. The fact that a DNA 23  
24 molecule can store more information than any conventional memory chip and that 24  
25 DNA can be used to perform parallel computations make the area very appealing.<sup>19</sup> 25  
26 Regardless of the success of DNA computers, the development of silicon-based 26  
27 nanocomputers could use the advantages of DNA computing. 27

28 Apart from silicon-based nanocomputers and DNA computers, researchers 28  
29 believe that quantum computers may be another promising approach that overcomes 29  
30 the limits of conventional computers [22]. Feynman began one of the first research 30  
31 groups to explore computational devices based on quantum mechanics. In 1982, he 31  
32 demonstrated how computations could be done by quantum systems according to the 32  
33 principles of quantum physics [23]. In quantum computers, the binary data in con- 33  
34 ventional computers are represented by quantum bits, or qubits, which can be in a 34  
35 state of 0, 1 and superposition (simultaneously both 0 and 1). As a quantum com- 35  
36 puter can hold multiple states simultaneously, it is argued that it has the potential to 36  
37 37

38 <sup>17</sup> For more information, see <http://physicsweb.org/articles/world/11/9/7/1>. 38

39 <sup>18</sup> From [http://www.smalltimes.com/document\\_display.cfm?section\\_id=39&document\\_id=8257](http://www.smalltimes.com/document_display.cfm?section_id=39&document_id=8257). 39

40 <sup>19</sup> From <http://www.news.wisc.edu/view.html?id=3542>. 40

1 perform a million computations at the same time.<sup>20</sup> However, quantum computers 1  
2 are based on quantum-mechanical phenomena, which are vulnerable to the effects of 2  
3 noise. A scheme for quantum error correction is required.<sup>21</sup> Researchers have been 3  
4 working to overcome this obstacle. To date, quantum computing is still in the very 4  
5 early stages. 5  
6

## 7 2.4 Nanorobots 8

9 One vision of a nanoassembler or nanorobot is a device with robotic arms, mo- 9  
10 tors, sensors and computer to control the behaviour, all at the scale of nanometres. 10  
11 In 1992, the book called “Nanosystem” by Drexler gives an analysis of the feasi- 11  
12 bility of machine components for such nanorobots [24]. However, even to build a 12  
13 molecular motor, researchers have to consider laws of thermodynamics when mo- 13  
14 tors are actually in operation [25]. Just building a miniature version of an ordinary 14  
15 motor is not adequate. Recently, a controversy arose surrounding Feynman’s vision 15  
16 of nanorobots. In 2003, an open debate through letters between K.E. Drexler and 16  
17 R.E. Smalley (who was awarded a Nobel Prize for the discovery of fullerenes) was 17  
18 presented to public.<sup>22</sup> Smalley was not convinced that such molecular assemblers 18  
19 envisioned by Drexler are physically possible, while Drexler insists on his previ- 19  
20 ous findings. Certainly, the study of similarly-sized biological machines—organic 20  
21 cells—suggests there may be more effective alternatives to Drexler’s nanorobots. 21  
22 Even if nanorobots can be realised, they will not be available in the near future [26]. 22  
23

## 24 2.5 Nanomedicine 25

26 Nanotechnology promises a great future for medical research including improved 26  
27 medical sensors for diagnostics, augmentation of the immune system with medical 27  
28 nanomachines, rebuilding tissues, and tackling aging. Proponents claim that the ap- 28  
29 plication of nanotechnology to medicine, so-called nanomedicine, offers ultimate 29  
30 benefits for human life and society by eliminating all common diseases and all med- 30  
31 ical suffering.<sup>23</sup> Eventually, it is argued that nanomedicine would allow the extension 31  
32 of human capabilities.<sup>23</sup> In 2003, R.A. Freitas Jr. commented that nanometre-scale 32  
33 structures and devices held great promises for the advancement of medicine includ- 33  
34 ing. 34

35  
36 <sup>20</sup> For more information, see [http://www.cs.cmu.edu/afs/cs/project/jair/pub/volume4/hogg96a-html/](http://www.cs.cmu.edu/afs/cs/project/jair/pub/volume4/hogg96a-html/node6.html)  
36 [node6.html](http://www.cs.cmu.edu/afs/cs/project/jair/pub/volume4/hogg96a-html/node6.html).

37 <sup>21</sup> For more information, see <http://www.theory.caltech.edu/~quic/errors.html>.

38 <sup>22</sup> The details of those letters can be found at [http://pubs.acs.org/cen/coverstory/8148/8148counterpoint.](http://pubs.acs.org/cen/coverstory/8148/8148counterpoint.html)  
39 [html](http://pubs.acs.org/cen/coverstory/8148/8148counterpoint.html).

40 <sup>23</sup> From <http://www.foresight.org/Nanomedicine/NanoMedFAQ.html#FAQ19>.

1 ing advanced biosensors, smart drugs and immunoisolation therapies.<sup>24</sup> In this initial  
2 stage of nanomedicine, nanostructured materials are being tested in various potential  
3 areas; for example, tagging nanoparticles using quantum dot nanocrystals as biolog-  
4 ical markers and smart drugs that become active only in specific circumstances.<sup>25</sup>  
5 In addition, researchers have found a method to control the size of densely packed  
6 DNA structures, one of nature's efficient ways for transporting gene information.<sup>26</sup>  
7 This could improve the efficiency of gene therapy for medical treatment and dis-  
8 ease prevention.<sup>26</sup> It is hoped by many that the next stage of nanomedicine, where  
9 nanorobots or nanocomputers are fully available, would expand enormously the ef-  
10 fectiveness, comfort and speed of future medicine treatments with fewer risks and  
11 costs.

### 3. Benefits of Computer Science for Nanotechnology

16 Recently, M.C. Roco of the National Nanotechnology Initiative (NNI), an or-  
17 ganisation officially founded in 2001 to initiate the coordination among agencies  
18 of nanometre-scale science and technology in the USA, gave a timeline for nan-  
19 otechnology to reach commercialisation.<sup>27</sup> For the next twenty years, the NNI has  
20 divided the development of nanotechnology into four generations. The first genera-  
21 tion, which just ended in 2004, involved the development of *passive nanostructures*  
22 such as coatings, nanoparticles, nanostructured metals, polymers and ceramics. At  
23 the time of writing, we begin the second generation, during which we should man-  
24 ufacture *active nanostructures* including transistors, amplifiers, targeted drugs, ac-  
25 tuators and adaptive structures. Later, from the year 2010, nanotechnology should  
26 enter the third generation. It is estimated that *systems of nanosystems*, for example:  
27 guided molecular assembling systems, 3D networking and new system architectures  
28 for nanosystems, robotics and supramolecular devices, would be developed. Finally,  
29 from the year 2020, the fourth generation of nanotechnology should be the gen-  
30 eration of *molecular nanosystems*, which would integrate evolutionary systems to  
31 design molecules as devices or components at atomic levels.

32 To date, nanotechnology has been developed mostly from the basis in physics,  
33 chemistry, material science and biology. As nanotechnology is a truly multi-  
34 disciplinary field, the cooperation between researchers in all related areas is crucial to

36 <sup>24</sup> For more information, see <http://www.nanotech-now.com/products/nanonewsnow/issues/003/003.htm>.

37 <sup>25</sup> For more information, see <http://www.sciencenews.org/articles/20040501/fob1.asp>.

38 <sup>26</sup> From <http://www.azonano.com/details.asp?ArticleID=104>.

39 <sup>27</sup> The presentation material in his talk at the workshop Nanotechnology Research Direction II and other  
40 NNI presentation materials can be found at <http://www.nsf.gov/crssprgm/nano/reports/nnipres.jsp>.

1 the success of nanotechnology. Until now, computer science has taken a role mostly 1  
2 in research tools, for example: a virtual-reality system coupled to scanning probe 2  
3 devices in nanomanipulator project. However, according to M.C. Roco, the third and 3  
4 fourth generation of nanotechnology would rely heavily on research in computer 4  
5 science. 5

6 Perhaps reflecting the extensive use of computers in the modern world, computer 6  
7 science is today a broad field, with many aspects that may affect nanotechnology. 7  
8 Earlier sections have outlined the use of graphics and imaging with nanomanipula- 8  
9 tors. Other current uses of computer science for nanotechnology include developing 9  
10 software systems for design and simulation. A research group at NASA has been 10  
11 developing a software system, called *NanoDesign*, for investigating fullerene nan- 11  
12 otechnology and designing molecular machines.<sup>28</sup> The software architecture of Nano- 12  
13 oDesign is designed to support and enable their group to develop complex simulated 13  
14 molecular machines. 14

15 However, here we focus on intelligent systems. Research in intelligent systems 15  
16 involves the understanding and development of intelligent computing techniques 16  
17 as well as the application of these techniques for real-world tasks, often including 17  
18 problems in other research areas. The techniques in intelligent systems comprise 18  
19 methods or algorithms in artificial intelligence (AI) including knowledge represen- 19  
20 tation/reasoning, machine learning and natural computing or soft computing. 20

21 An exciting new development at the time of writing is a project called PACE 21  
22 (programmable artificial cell evolution). This large interdisciplinary project aims to 22  
23 create a “nanoscale artificial protocell able to self-replicate and evolve under con- 23  
24 trolled conditions.”<sup>29</sup> The protocells in this work are intended to be the “simplest 24  
25 technically feasible elementary living units (artificial cells much simpler than current 25  
26 cells),”<sup>30</sup> These are intended to act as nanorobots, comprising an outer membrane, 26  
27 a metabolism, and peptide-DNA to encode information. Evolutionary modelling is 27  
28 being used extensively in PACE, to analyse real and simulated protocell dynam- 28  
29 ics, their possible evolution, and the evolution of (potentially noisy) protocellular 29  
30 networks. Evolution is also being used within microfluidic FPGA chips to produce 30  
31 stable self-replicating cell-membranes, with a genetic algorithm using physical pop- 31  
32 ulations on the chip and evaluated by a computer vision system. In addition to this 32  
33 work, computer modelling of embryogenesis and developmental systems is becom- 33  
34 ing increasingly popular in computer science [31]. Should artificial cells become a 34  
35 35

36 28 From [http://www.nas.nasa.gov/Groups/Nanotechnology/publications/MGMS\\_EC1/NanoDesign/arti](http://www.nas.nasa.gov/Groups/Nanotechnology/publications/MGMS_EC1/NanoDesign/arti)  
37 cle.html. 37

38 29 From <http://complex.upf.es/~ricard/PACEsite.html>. 38

39 30 From [http://134.147.93.66/bmcmyp/Data/BIOMIP/Public/bmcmyp/Data/PACE/Public/paceprosheet.](http://134.147.93.66/bmcmyp/Data/BIOMIP/Public/bmcmyp/Data/PACE/Public/paceprosheet.html)  
40 html. 40

1 reality, such models will provide a method for their genes to be programmed in order  
2 to enable the growth of larger, multicellular forms.

3 Apart from genetic algorithms and other evolutionary algorithms that have promis-  
4 ing potential for a variety of problems (including automatic system design for mole-  
5 cular nanotechnology [7]), another emerging technique is swarm intelligence, which  
6 is inspired by the collective intelligence in social animals such as birds, ants, fish and  
7 termites. These social animals require no leader. Their collective behaviours emerge  
8 from interactions among individuals, in a process known as self-organisation. This  
9 collective intelligence in social animals often cannot emerge from direct interaction  
10 among individuals. Instead, indirect social interaction (*stigmergy*) must be employed.  
11 Each individual may not be intelligent, but together they perform complex collabora-  
12 tive behaviours. Typical uses of swarm intelligence are to assist the study of human  
13 social behaviour by observing other social animals and to solve various optimisation  
14 problems [27,28]. There are three main types of swarm intelligence techniques: mod-  
15 els of bird flocking, the ant colony optimisation (ACO) algorithm, and the particle  
16 swarm optimisation (PSO) algorithm. Different techniques are suitable for different  
17 problems.

18 Although still a young field of computer science, swarm intelligence is becoming  
19 established as a significant method for parallel processing and simultaneous control  
20 of many simple agents or particles in order to produce a desired emergent outcome.  
21 For example, researchers at the Santa Fe Institute developed a multi-agent software  
22 platform, called *Swarm*<sup>31</sup> inspired by collaborative intelligence in social insects, for  
23 simulating complex adaptive systems. Likewise, BT's Future Technologies Group  
24 developed a software platform known as EOS, for Evolutionary Algorithms (EAs)  
25 and ecosystem simulations. The group uses EOS for research into novel EAs and  
26 ecosystem models and for rapid development of telecommunication-related applica-  
27 tions [32]. Systems such as these will become increasingly important for modelling  
28 molecular machine systems.<sup>32</sup> They are also being investigated as a solution to pro-  
29 vide self-healing, adaptive and autonomous telecommunications networks. Another  
30 potential benefit of such techniques for complex adaptive systems in this area would  
31 be to control intelligently the manufacture of nanometre-scale devices, where no ex-  
32 act mathematical model of the system exists. Many intelligent systems' techniques  
33 have been successfully applied in control system of various complex applications.  
34 Although at nanometre-scale the principles and properties of materials are altered,  
35 researchers have attempted to solve other dynamic problems using soft computing  
36 techniques and have been developing new techniques to cope with such problems.  
37

38  
39 <sup>31</sup> For more information, see <http://www.swarm.org/>.

40 <sup>32</sup> From <http://www.foresight.org/Updates/Update39/Update39.5.html>.

1 Also inspired by emergent collaborating behaviours of social insects, the *Autonomous Nanotechnology Swarm* (ANTS)<sup>33</sup> architecture for space exploration by 1  
2 NASA Goddard Space Flight Center is claimed to be a revolutionary mission archi- 2  
3 tecture. The ANTS architecture distributes autonomous units into swarms and 3  
4 organises them in hierarchy by using the concept of artificial intelligence. Researchers 4  
5 at the center have been developing a framework to realise the autonomous intelli- 5  
6 gent system by using an Evolvable Neural Interface (ENI). As a result, the interface 6  
7 allows cooperation between higher-level neural system (HLNS) for elementary pur- 7  
8 pose actions and lower-level neural system (LLNS) for problem solving as required 8  
9 in real-world situations. In the plan, each autonomous unit will be capable of adapt- 9  
10 ing itself for its mission, and the ANTS structures will be based on carbon nanotube 10  
11 components. 11  
12

13 In 1996, O. Holland and C. Melhuish investigated the abilities of single and multi- 13  
14 ple agents on a task with agents under similar circumstances as future nanorobots 14  
15 (minimal sensing, mobility, computation and environment) [29]. The task to be 15  
16 solved by the agents in their studies was to learn to move towards a light source by us- 16  
17 ing simple rule-based algorithms. In the case of single agents, the result was efficient, 17  
18 but performance degraded as the amount of noise increased. In the case of multiple 18  
19 agents, the best result was from the algorithm that formed collective behaviours akin 19  
20 to genuine social insects. This investigation showed that emergent collective intelli- 20  
21 gence from social interactions among agents modelled on social insects could cope 21  
22 with the limited capabilities that would be inevitable in future nanoscale robots. 22

23 Recently, B. Kaewkamnerdpong and P.J. Bentley proposed a new swarm algo- 23  
24 rithm, called the *Perceptive Particle Swarm Optimisation* (PPSO) algorithm [30]. 24  
25 The PPSO algorithm is an extension of the conventional PSO algorithm for applica- 25  
26 tions in the physical world. By taking into account both the social interaction among 26  
27 particles, and environmental interaction, the PPSO algorithm simulates the emerg- 27  
28 ing collective intelligence of social insects more closely than the conventional PSO 28  
29 algorithm; hence, the PPSO algorithm would be more appropriate for real-world 29  
30 physical control problems. This is the first particle swarm algorithm to be explicitly 30  
31 designed with nanotechnology in mind. Because each particle in the PPSO algo- 31  
32 rithm is highly simplified (each able to detect, influence or impact local neighbours 32  
33 in limited ways) and the algorithm is designed for working with a large number of 33  
34 particles, this algorithm would be truly suitable for programming or controlling the 34  
35 agents of nanotechnology (whether nanorobots, nanocomputers or DNA computers), 35  
36 whose abilities are limited, to perform effectively their tasks as envisioned. Further 36  
37 details of this method are provided in the second part of this article. 37

38 This is seen as a crucial “missing link” in bottom-up nanotechnology: the control 38  
39 of the nanosized agents. A billion (or trillion) tiny particles, whether complex 39

40 <sup>33</sup> For more information, see <http://ants.gsfc.nasa.gov/>. 40

1 molecules or miniature machines, must all cooperate and collaborate in order to pro- 1  
2 duce the desired end result. None will have, individually, sufficient computing power 2  
3 to enable complex programming. Like the growth of crystals, the development of 3  
4 embryos, or the intelligent behaviour of ants, bottom-up nanotechnology must be 4  
5 achieved through collective, emergent behaviours, arising through simple interac- 5  
6 tions amongst itself and its environment. Computer science, and especially fields 6  
7 of research such as swarm intelligence, will be critical for the future of bottom-up 7  
8 nanotech. 8

9 We now examine swarm intelligence more closely and provide some examples of 9  
10 how it may be used for nanotechnology. 10  
11 11

## 12 4. Swarm Intelligence 12

13 13  
14 14  
15 Swarm intelligence is inspired by collaborative behaviours in social animals such 15  
16 as birds, ants, fish and termites. Collaborative behaviour among social animals ex- 16  
17 hibits a remarkable degree of intelligence. These social animals require no leader. 17  
18 Their collaborative behaviours emerge from interactions among individuals. Often 18  
19 the behaviour of flocks, swarms and insect colonies, arises through interactions 19  
20 among the individuals in the group and through interactions with their environment. 20  
21 For example, ant foraging behaviour in many ant species arises by means of attractive 21  
22 pheromone trail [28]. 22

23 Forager ants lay pheromone trail as they move from a food source to their nest. The 23  
24 other foragers sense and, then, follow the trail to the food source. Pheromone—which 24  
25 is a chemical substance—deposited in the environment serves as an intermediate 25  
26 agent in indirect interactions among individuals. Similarly, termites construct their 26  
27 mound by depositing the pheromone and following the smell of pheromone [27]. 27  
28 Individual termites move towards the direction with strongest pheromone concentra- 28  
29 tion and deposit a mixture of local soil and their saliva. With such simple activities, 29  
30 termites construct their mound to fill with chambers, passages, and ventilation system 30  
31 even though they have no construction plan beforehand. Termites are considered to 31  
32 be some of the greatest architects in the insect (and animal) world [44]. Although 32  
33 each individual in insect colonies may not be intelligent, together they perform 33  
34 complex collaborative behaviours. Swarm intelligence techniques model collective 34  
35 behaviours in social insects. 35  
36 36

### 37 4.1 Stigmergy and Self-Organisation 37

38 38  
39 Unlike the hierarchical organisation with centralised control in humans (i.e., our 39  
40 brain is in one place and controls everything), social insects have no leader to coor- 40

1 dinates other individuals to achieve their tasks; the role of so-called *queen* in insect 1  
2 colonies is merely a reproducer. With simple behaviours like pheromone laying and 2  
3 following, worker termites—which are blind—can build their sophisticated mound. 3  
4 Such indirect form of communication found in social insects is known as *stig-* 4  
5 *mergy*. In 1959, Pierre-Paul Grasse made observations on termite building behaviour 5  
6 and used this term to describe task coordination and construction regulation in ter- 6  
7 mites [28]. Grasse explained that the workers were guided by the construction [45]; 7  
8 individual worker deposits a chunk of material that stimulates the same worker or 8  
9 any other workers nearby to respond and deposit more material [28]. In general, 9  
10 stigmery describes the indirect communication among individuals through the envi- 10  
11 ronment [27]; one individual modifies the environment, and the other individuals 11  
12 respond to the changed state of the environment leading to collaborative behaviours 12  
13 as seen in ants, termites, and other social insects. 13

14 In 1990, Deneubourg and his colleagues [46] showed that simulated robots with 14  
15 stigmery and simple rules could achieve clustering and sorting tasks that are com- 15  
16 mon activities in ants; some species of ants cluster corpses of their nestmates into 16  
17 cemetery and sort their larvae into piles according to size [28]. Without direct com- 17  
18 munication among robots, ant-like robots comprising with a short-term memory unit 18  
19 perform both tasks comparable to ants [46]. Deneubourg et al., however, note that 19  
20 long memory length prevents effective performance in clustering and sorting. In 20  
21 1994, Beckers, Holland and Deneubourg [47] conducted similar clustering experi- 21  
22 ments on physical mobile robots with no memory [45]. The study shows that stig- 22  
23 mery can control and coordinate a number of robots to achieve their tasks and the 23  
24 number of robots is a critical factor to the performance of the system [47]. Whereas 24  
25 a greater number of robots reduces the time to achieve the task, increasing number 25  
26 of robots results in the exponentially increased number of interactions and may lead 26  
27 to the destruction of existing clusters [47]. 27

28 Experiments on physical robots for sorting tasks were extended in [45] in 1999. 28  
29 Holland and Melhuish explored the effect of increasing number of agents in more 29  
30 details. Both direct and indirect interactions among social insects are required in 30  
31 the underlying mechanism to their collaborative behaviours, which is known as 31  
32 *self-organisation* [28]. Self-organisation is initially used to describe the mechanism 32  
33 of macroscopic patterns emerging from processes and interactions at microscopic 33  
34 level [48]. Likewise, the emergence of collective intelligence at colony level in so- 34  
35 cial insects arising from the interactions among individuals with simple behaviours is 35  
36 from self-organisation as well. Bonabeau et al. [28] describes that self-organisation 36  
37 relies on four fundamental components: 37  
38

- 39 1. *Positive feedback*: In foraging, when the individual comes back from the food 39  
40 source, it can recruit the others to this food source (either by dancing in bees 40

or pheromone trail in some ant species). The recruitment of the individuals and reinforcement are forms of positive feedback.

2. *Negative feedback*: To stabilise the collective pattern (i.e., suppress the positive feedback), negative feedback may be in the form of saturation, exhaustion, or competition.
3. *Amplification of fluctuations*: These fluctuations are, for instance, errors, random task-switching, and so forth. Randomness can promote the exploration and discovery of new solutions.
4. *Multiple interactions*: Self-organisation relies on multiple interactions including both direct and indirect interactions among individuals. For example, the action of pheromone-following can interact with pheromone-laying action if the density of the pheromone is sufficient. The pheromone substance can, however, evaporate over time; multiple interactions are required to maintain the pheromone density level and, hence, self-organisation.

The collective patterns and behaviours arising from self-organisation may not be completely orchestrated; termites do not know the order of activities they should do or specific location they should deposit soil to construct their mound. Nevertheless, stigmergy provides flexibility and robustness. Social insects can often collectively cope with external perturbation to their systems and exhibit the same collaborative behaviours [28]. Therefore, artificial agents adopting stigmergy and self-organisation can respond to perturbation without reprogramming [28]. Such intelligence can be transformed into a powerful tool in computer science.

## 4.2 Swarm Intelligence Techniques

The term “swarm intelligence” was first used to describe self-organised cellular robotic systems using nearest-neighbour interactions in [49,50]. Bonabeau et al. [28] later extended the definition to include: “any attempt to design algorithms or distributed problem-solving devices inspired by the collective behaviour of social insect colonies and other animal societies.” As the intelligence in social animals emphasises decentralisation, self-organisation, direct/indirect interactions among simple agents, flexibility, and robustness, swarm intelligence techniques are simulated model of such intelligence and typically used to solve optimisation problems [27]. In swarm intelligence, there are two main types of techniques: *ant colony optimisation* (ACO) algorithm and the *particle swarm optimisation* (PSO) algorithm. These techniques are described as follows.

### 4.2.1 *Ant Colony Optimisation*

Inspired by foraging behaviour of ants, ant colony optimisation (ACO) algorithms are probabilistic-based computational methods modelling such collective behaviour in ants [51,52]. When foraging, individual ants randomly travel to find food source and lay a certain amount of pheromone on the way back from food source. Ants that sense attractive pheromone follow the trail left by other ants to the food source. When more than one trail is found, the one with stronger pheromone is more preferable and foragers are recruited to that more attractive trail; entomologists have shown that ants probabilistically prefer the path holding high pheromone concentration [53]. With this simple behaviour, ants can find the shortest path from their nest to a food source. Apart from foraging, some ant species cluster their dead to clean the nest in similar way.

The pheromone substance laid by individual ants plays an important role in locally indirect communication among individual ants in the neighbourhood—within the proximity of pheromone trail to detect pheromone concentration. After some time, the most promising path to food source has the greater pheromone concentration. ACO uses this positive feedback mechanism to reinforce the system to good solutions as the increasing amount of pheromone reflects recruitment and reinforcement on the solution.

The persistent pheromone allows ACO to keep good solutions in memory and to find better solutions [28]. As pheromones evaporate over time, the pheromone evaporation serves as negative feedback to avoid premature convergence. The pheromone evaporation and probabilistic randomness in artificial ants allow the ants to explore new paths. To balance between exploitation of the current food source—solution—and exploration of new solution, the rate of pheromone evaporation must be appropriately set. If the virtual pheromone evaporates too quickly, no collective behaviour can emerge; on the other hand, if it evaporates too slowly, the system can yield premature convergence [28].

The ACO framework, or called *ACO Meta-Heuristic*, apply this collective behaviour to solve combinatorial optimisation problems [28]. The examples of combinatorial optimisation problems—optimising with qualitative variables—include travelling salesman problem (TSP), quadratic assignment problem, and telecommunication network routing. In 1997, Dorigo and Gambardella demonstrated the use of ACO in travelling salesman problem where the shortest path length to visit all cities is required [54]. For TSP problem of 50 cities, ACO yields comparable results to other methods in the literature including simulated annealing, neural network, genetic algorithm, and farthest insertion heuristic; ACO often produces better results than the others [54].

Even though ACO algorithms are powerful optimisation methods and can be applied to both discrete and continuous optimisation problems, they have a limitation

1 to be employed in physical applications. As pheromone is the essence of ACO meta- 1  
2 heuristic, there must be real pheromone (or other substances that would serve a 2  
3 similar purpose) and an appropriate environment in which pheromone is deposited. 3  
4 For the task of three-dimensional nanorobot coordination control, ACO is only likely 4  
5 to be suitable for very specialised types of application (e.g., laying down of conduc- 5  
6 tive paths between electronic components). 6  
7

#### 8 *4.2.2 Particle Swarm Optimisation* 8 9

10 Self-organisation in bird flocking is one of intriguing phenomena in nature. A large 10  
11 number of birds can flock synchronously, often change direction spontaneously, 11  
12 sometimes scatter and, then, regroup. Bird flocking behaviour has been studied for 12  
13 the underlying mechanisms in their social behaviours [55]. Scientists have devel- 13  
14 oped computer simulations of the movement of social animals like bird flocks and 14  
15 fish schools [56]. One motive in developing such simulations was to model human 15  
16 social behaviour [57]. In 1987, Craig Reynolds developed a model of motion in so- 16  
17 cial animals such as birds and fish [58]. His flocking model applies three simple 17  
18 behaviours to control the movement of simulated creature, called a *boi*d. Each boi 18  
19 d observes its neighbours, which are boi

20 ds locating within the defined distance from 20  
21 itself, and acts according to three behaviours: avoiding collisions with its neighbours, 21  
22 matching velocity with its neighbours, and staying close to its neighbours. Using the 22  
23 combination of simple behaviours, the model shows that group behaviours can arise 23  
24 from interactions among boi

25 ds within the neighbourhood. This model has been used 24  
26 in a simulation a swarm of bats in the film "Batman Returns" in 1992<sup>34</sup> and other 25  
27 applications in computer animation and behavioural simulation [59]. 26

27 Rather than relying on manipulations for optimum distances amongst individuals 27  
28 as in [56], Kennedy and Eberhart simulate human social behaviours according to so- 28  
29 ciobiologist E.O. Wilson [60] that social sharing of information among individuals 29  
30 offers an evolutionary advantage [57]. Through simulation, they discovered and de- 30  
31 veloped an optimisation method for continuous non-linear functions called *particle* 31  
32 *swarm optimisation* (PSO) [57]. The original PSO algorithm resembles swarm intel- 32  
33 ligence through a very simple concept whose implementation requires inexpensive 33  
34 computation speed and memory requirement as the algorithm uses only primitive 34  
35 mathematic operators [57]. PSO algorithm is a population-based technique exhibit- 35  
36 ing self-organisation through social interactions and the exchange of information 36  
37 which each individual experiences; a swarm of particles fly around the problem 37  
38 space to find a good solution (position) with the influences from their own expe- 38  
39 rience and their neighbours' experiences. Referring back to the self-organisation 39

40 <sup>34</sup> From

1 principles listed earlier, these experiences (or knowledge) from multiple social in- 1  
2 teractions according to neighbourhood topology can serve as positive feedback to 2  
3 influence particles to move towards a good position which may be, perhaps, an opti- 3  
4 mum. Meanwhile, these influences can serve as negative feedback as well; when a 4  
5 better position or optimum is found, social knowledge drives particles to leave the 5  
6 current optimum to pursue the better one. In any case, particles do not move directly 6  
7 towards a good position but rather explore around the good position as the PSO algo- 7  
8 rithm adds randomness in particle movement. Social interaction among individuals is 8  
9 crucial to the success of the PSO algorithm. In the conventional PSO algorithm, the 9  
10 exchange of information seems to arise from direct communication among particles 10  
11 and no stigmergy is regarded. 11

12 Since it was first introduced, the PSO algorithm has been continually modified 12  
13 to improve its performance to solve numerical optimisation problems. The partic- 13  
14 le swarm optimisation algorithm has been successfully employed to solve a range 14  
15 of optimisation problems including electric power systems [61], music [62], image 15  
16 classification [63], logic circuit design [64], recommender systems [65] and enhance- 16  
17 ment of other learning algorithms [66]. 17

18 Using a similar representations to physical agents, the PSO algorithm seems a 18  
19 plausible method for application in physical applications including nanorobot coordi- 19  
20 nation control. The inexpensive requirement in memory and computation suits well 20  
21 with nanosized autonomous agents whose capabilities may be limited by their size. 21  
22 Nevertheless, the conventional PSO algorithm requires complex, direct communica- 22  
23 tion among particles in the neighbourhood which might not be possible in nanorobot. 23  
24 To apply in nanorobot control, a modification of PSO algorithm is required. 24

## 25 26 27 **5. Perceptive Particle Swarm Optimisation** 27 28

29 In particle swarm optimisation, all individuals in the swarm have the same beh- 29  
30 aviours and characteristics. It is assumed that the information on the position and 30  
31 the performance of particles can be exchanged during social interaction among par- 31  
32 ticles in the neighbourhood. Importantly, conventional particle swarm optimisation 32  
33 relies on social interaction among particles through exchanging detailed information 33  
34 on position and performance. However, in the physical world, this type of complex 34  
35 communication is not always possible. Global communication may be impossible 35  
36 amongst swarm of nanorobots. Indeed, it is common for macro-sized robots to have 36  
37 no idea of their own performance in a given location and thus there may be little 37  
38 direct information that one individual can pass on to its companions. 38

39 Insects must cope with similar problems. Termites do not build their mounds by 39  
40 talking to each other and telling each other where to deposit material. Instead, they 40

1 perceive each other, and they perceive their environment, and their complex behav- 1  
2 iour emerges as a result of those perceptions. There is no concept of communication, 2  
3 only interaction. Social interaction and environmental interaction (stigmergy) en- 3  
4 ables termites to build highly complex structures without any direct communication. 4

5 Recent work by the authors has focused on the use of swarm intelligence for 5  
6 physical nanotechnology applications, where these kinds of severe communication 6  
7 restrictions are common. In order to imitate the physical collective intelligence in 7  
8 social insects, we have proposed the Perceptive Particle Swarm Optimisation (PPSO) 8  
9 algorithm, which adds an extra dimension to the search space and enables both 9  
10 social interaction and environmental interaction by allowing a finite perception range 10  
11 for each individual [27]. 11

12 The PPSO algorithm is relatively similar to the conventional particle swarm op- 12  
13 timisation algorithm. However, instead of operating in  $n$ -dimensional search spaces 13  
14 for  $n$ -dimensional optimisation problems, the PPSO algorithm operates in  $(n + 1)$ - 14  
15 dimensional search space. In effect, the particles fly over a physical fitness landscape, 15  
16 observing its peaks and troughs from afar. Instead of directly exchanging informa- 16  
17 tion among particles in their neighbourhoods, each individual has a finite range of 17  
18 perception so that it can observe the search space, which is the environment of the 18  
19 swarm, and perceive the approximate positions of other individuals within its percep- 19  
20 tion range as social insects observe the world and other individuals through senses. 20  
21 Thus, particles in the PPSO algorithm are attracted to the better positions in the 21  
22 search space they perceive and to the neighbours they perceive. 22

23 The added dimension represents the underlying performance of particles at their 23  
24 positions in  $n$ -dimensional space. The exact performance at a specific position in 24  
25 the space is unknown to the particles in the PPSO algorithm. Adding the additional 25  
26 dimension and the ability to observe the search space allows particles to perceive 26  
27 their approximate performance. Consider an  $n$ -dimensional function optimisation 27  
28 problem. In the conventional particle swarm optimisation, particles fly around the 28  
29  $n$ -dimensional search space and search for position giving the greatest performance 29  
30 measured by using the function to optimise. On the other hand, in the PPSO algo- 30  
31 rithm the particles fly around  $(n + 1)$ -dimensional space to observe the space and 31  
32 find the optima of the landscape. Because particles can fly “over” discontinuities and 32  
33 noise, the PPSO algorithm finds a good solution to the problem regardless of non- 33  
34 deterministic functions or stochastic conditions. Figure 1 shows particles (red dots) 34  
35 in the conventional PSO algorithm and the PPSO algorithm. In Fig. 1(a) and (b), 35  
36 particles operate in a one-dimensional problem, while Fig. 1(c) and (d) demonstrate 36  
37 particles operating in a two-dimensional problem. 37

38 In more detail: particles in the PPSO algorithm observe the search space within 38  
39 their perception ranges by sampling a fixed number of directions to observe and sam- 39  
40 pling a finite number of points along those directions. Figure 2 shows an example of 40

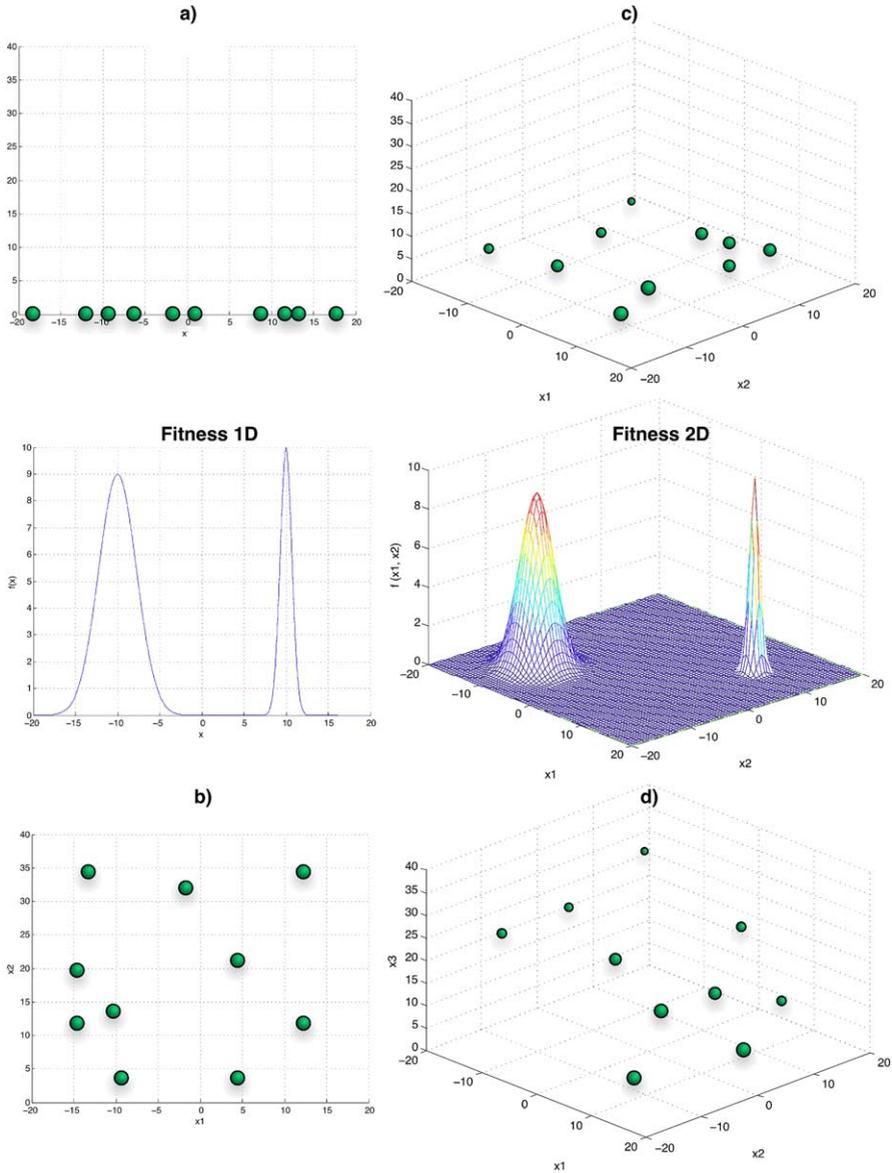


FIG. 1. The comparison between the conventional PSO algorithm (a), (c) and the PPSO algorithm (b), (d) in one-dimensional and two-dimensional optimisation problems.

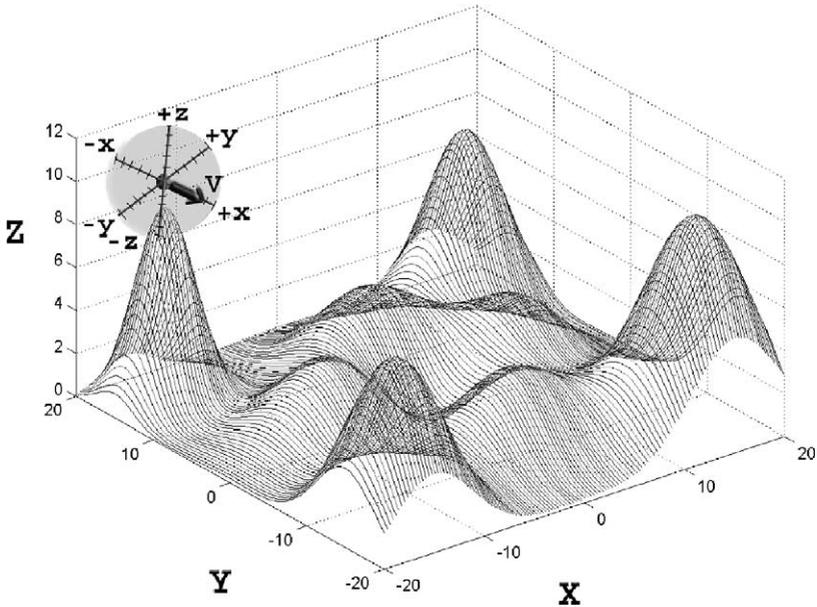


FIG. 2. An example of sampling the observation directions in two-dimensional problem.

a particle observing the landscape in six directions. The particle attempts to observe the search space for the landscape at several sampled distances from its position, in each direction. If the sampled point is within the landscape, the particle perceives the height of the landscape at that point. To be more realistic, the perception radius for observing the search space and other neighbouring particles can be separated into an inner radius and an outer radius. Within the inner perception radius, the particle has excellent perception, while its perception is less reliable in the outer perception range.

In the physical world, some social insects can perceive the presence of other individuals through other senses than those they use to observe the world. To simplify this in PPSO algorithm, particles can observe neighbouring particles in their perception range without sampling along specific directions. If there is any neighbour within the perception range, the particle perceives the approximate positions of neighbouring particles. The performance of each particle in the neighbourhood is unknown to each other. Therefore, each neighbouring particle might be in either a better or worse position than its own position. The particle chooses randomly the neighbouring particles, which will influence the particle to move towards them. The position of the chosen neighbour will be used as the local best position. If there is

1 more than one neighbour chosen, the *lbest* position is the average position among 1  
2 those neighbours. The presence of the neighbouring particles influences the cal- 2  
3 culation of the new velocity for the next iteration in the same way as local social 3  
4 interaction, *lbest*, in the conventional particle swarm optimisation [28]. However, the 4  
5 particle will have no memory of the local best position from previous iterations. If 5  
6 the local best position at the current iteration does improve the performance of the 6  
7 particle, it will affect its personal best position in the next iteration because the *pbest* 7  
8 position is the position with maximum fitness value that the particle has ever been. 8

9 Apart from parameters in the conventional particle swarm optimisation, the main 9  
10 parameters of the perceptive particle swarm optimisation are: the perception radius, 10  
11 the number of observing directions and the number of points to observe along each 11  
12 observing direction. A larger perception radius allows more social interaction and 12  
13 encourages particles to explore the search space. This is because when there is no 13  
14 neighbouring particle within the perception range, the particle moves around its 14  
15 personal best position. However, the larger perception radius requires more comput- 15  
16 ation time to observe the search space. A greater number of observing directions 16  
17 and a greater number of points to observe along each observing direction require 17  
18 more computation time as well. However, more observing directions allow a greater 18  
19 chance to obtain a good solution and the greater number of points offers more ac- 19  
20 curacy in observation. Note that the observation directions can be designed so that 20  
21 particles observe the search space at various angles in order to increase the chance 21  
22 that the swarm will find a good solution with acceptable computation time. 22

23 The PPSO algorithm is designed for optimisation problems in physical applica- 23  
24 tions, such as a swarm of rescue robots searching for survivors after an earthquake, 24  
25 or micro or nanoscale robots used to construct a desired form, where the conventional 25  
26 PSO algorithm cannot be applied. In [30], an experimental validation was conducted 26  
27 in two-dimensional function optimisation problem. Despite the limited communica- 27  
28 tion and performance measurements of the particles, the experiment showed compa- 28  
29 rable results with those from the conventional PSO algorithm [30]. 29  
30

## 31 **6. Perceptive Particle Swarm Optimisation for** 31 32 **Nanotechnology** 32 33 33 34 34

35 Using this model of particle movement and perception, computers can be used to 35  
36 simulate the aggregation of various desired forms. While the PPSO algorithm can be 36  
37 used for function optimisation as described above, a more direct simulation enables 37  
38 the same algorithm to model bottom-up form generation. Instead of simulated parti- 38  
39 cles randomly flocking in a virtual “function optimisation space,” we can make them 39  
40 randomly flock in a virtual “form aggregation space.” From the computer science 40

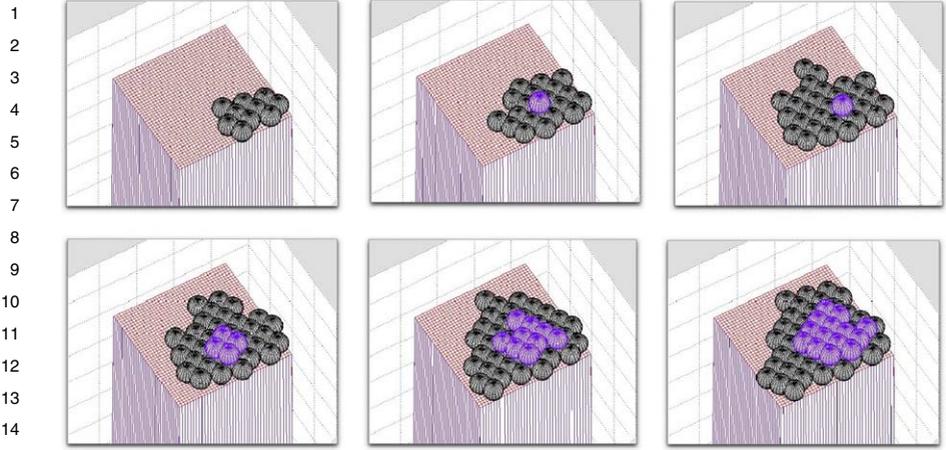


FIG. 3. A two-layered membrane is built from swarming nanoparticles over time.

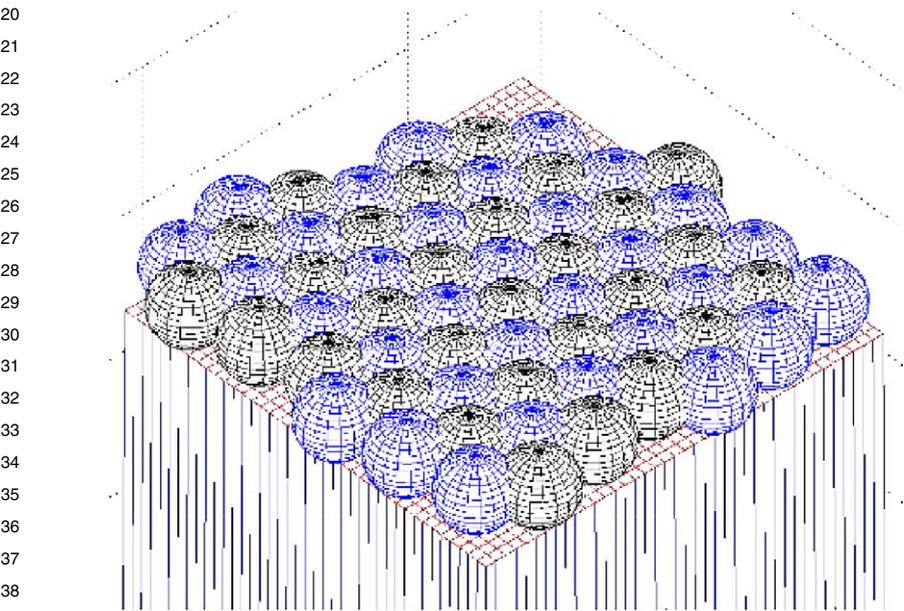


FIG. 4. A checkerboard pattern of two different types of particles.

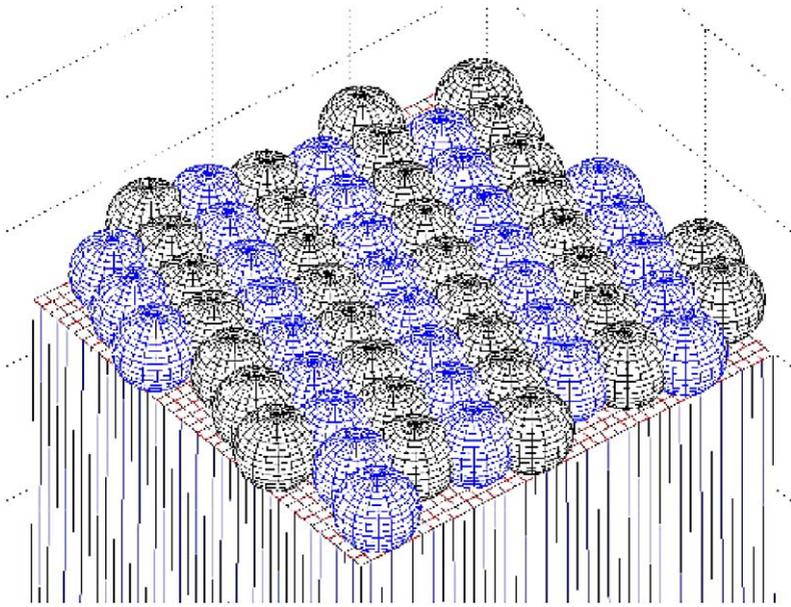


FIG. 5. A striped pattern of two different types of particles.

perspective, when using a swarm of particles to aggregate on a surface, the optimum becomes a series of optimal points (unlike typical optimisation problems). Using different rule sets for the swarming particles it is possible to model arbitrarily complex or simple attraction and repulsion behaviours.

By the addition of simple signals emitted by particles (which in a physical system might be equivalent to chemical gradients, electromagnetic fields or different adhesive properties) particles can be programmed to form in specific groups, patterns or layers. These larger structures emerge as particles are attracted to (and adhere to) a surface in the environment, and as they selectively adhere to each other. The movement of the particles is modelled as a free-floating cloud (i.e., akin to a gas or liquid) of flocking particles. Figure 3 illustrates how swarming particles slowly accumulate on a surface resulting in a two-layer membrane. Figures 4 and 5 show the results when the attraction ruleset is altered—checkerboard or striped patterns can be made to emerge.

The patterns shown in Figs. 4 and 5 are the result of applying constraints at the connectors of particles. For example, for the chess-board pattern all four connectors are to connect to the other type of particles. With such connection constraints,

1 nanorobots just follow the attraction signal and then attach to the optimal ones with 1  
2 appropriate connector. No further consideration/computation is required. 2  
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## 5 7. Self-Assembling Nanotechnology 5 6

7 Swarm intelligence is not the only field that may inform future nanotechnology. 7  
8 The development of self-assembling robots has also taught us much. 8

9 Self-assembly (the autonomous construction of a device by itself) is a dream of 9  
10 robotics engineers and may be an essential requirement for future nanorobots. A pay- 10  
11 load of self-assembling components would be easier to transport to hazardous and 11  
12 distant locations compared to complete robots. A device that can self-assemble also 12  
13 has the ability to self-repair or regenerate damaged parts of itself, given replace- 13  
14 ment components. But the creation of self-assembling devices is a highly challenging 14  
15 problem. 15  
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17 The concept of self-assembling robots has been a popular theme in science fiction 17  
18 for many years. Only recently have robots been developed that display self-assembly 18  
19 characteristics. These robots are examples of netted systems [33], consisting of sen- 19  
20 sors and controllers that interact and self-assemble through data communication. 20  
21 These robots demonstrate the synthetic realisation of templated self-assembly [34], 21  
22 biological self-assembly [35], and self-reconfiguration [4,5], as examples from the 22  
23 disciplines of modular robotics and swarm robotics. However, such disciplines do 23  
24 not provide a generic methodology to creating self-assembling robots at all scales. 24  
25 This is largely due to scalability issues in relation to their respective methods of 25  
26 communication and assembly between modules or robotic-units. 26

27 Here, we refine the term self-assembly and suggest that it should be used to 27  
28 describe processes that can be controlled by an appropriate design of pre-existing 28  
29 components that interact in order to create emergent aggregate forms [33]. This view 29  
30 of self-assembly is used to link the principles self-assembly from nature to previous 30  
31 work in robotics and design. 31

32 Applying the principles of self-assembly to robotics has tremendous potential. 32  
33 This is especially true at the micro and nanoscale, where self-assembly is viewed as 33  
34 the only viable means of fabrication [33]. 34

35 L.S. Penrose and R. Penrose were the first to show a mechanical analogue to 35  
36 natural self-assembly, specifically self-reproduction in the form of templated self- 36  
37 assembly [39]. They created two component shapes, labelled A and B, that connected 37  
38 in either an AB or BA configuration. Multiples of these A and B components were 38  
39 confined to a track in a random ordering, that when shaken, allowed components 39  
40 to move horizontally and interact with one another. By placing either an AB or a 40

1 BA seed complex on the track, it would cause neighbouring A and B or B and A 1  
2 components to self-assemble into AB and BA complexes respectively. 2

3 This example of templated self-assembly has recently been extended to robotics 3  
4 [34]. In this case, triangular-shaped programmed electromechanical components 4  
5 move randomly in two-dimensions on a cushion of air. When components collide, 5  
6 they communicate and latch and unlatch accordingly. Again, by initially placing a 6  
7 seed complex, free components can self-assemble and construct replicas of the seed 7  
8 complex [34]. 8

9 In these two examples, templates are used to direct the self-assembly process of 9  
10 decentralised components. In contrast, swarm robotics uses swarm intelligence to 10  
11 direct the self-assembly process of decentralised robotic units, in a form of biolog- 11  
12 ical self-assembly. Of the robots produced in this discipline, Swarm-bot has shown 12  
13 successful results in mimicking self-assembling formations of social insects (e.g., 13  
14 the formation of living bridges by *Oecophylla longinoda* worker ants) [35]. Swarm- 14  
15 bot is the collective name to the set of cube-shaped mobile robotic units, named 15  
16 s-bots, which are capable of physically linking together. For example, s-bots can 16  
17 self-assemble into aggregate structures to move across terrain, otherwise not possi- 17  
18 ble by an s-bot solely. 18

19 The discipline of modular robotics has produced self-reconfigurable robots using 19  
20 both centralised and decentralised modules [37]. Two of the most successful 20  
21 centralised modular robot implementations to date include PolyBot [36] and 21  
22 MTRAN [37]. These robots possess the ability to self-reconfigure a pre-existing set 22  
23 of modules that are physically connected together, and that move and attach/detach 23  
24 in terms of the degrees of freedom allowed by the components. PolyBot uses cube- 24  
25 shaped modules with one axis of rotation, which are capable of self-reconfiguring 25  
26 into various forms with movement such as in a loop, and in a snake-like and spider- 26  
27 like fashion [36]. MTRAN modules consist of two semi-cylindrical parts connected 27  
28 by a link, with each part being able to rotate 180° about its axis. These modules 28  
29 allow MTRAN to self-reconfigure into forms with one type of crawler and two types 29  
30 of quadruped movement [37]. 30

31 These robots are all implementations of subsets of self-assembly, in the form of 31  
32 netted systems. In nature, self-assembly is primarily dictated by the design of the 32  
33 components within a system and the environmental conditions they are subjected to, 33  
34 as well as their component and environment physical and chemical properties [8,9]. 34  
35 The following section describes a general framework for self-assembling system, 35  
36 which covers the above mentioned types of self-assembly currently used in practise 36  
37 (templated self-assembly, biological self-assembly, and self-reconfiguration), and the 37  
38 potential to create self-assembling robots in the future, particularly at the nanoscale. 38  
39 40

## 7.1 Framework

For the purposes of creating an artificial self-assembling system, the natural principles of self-assembly can be abstracted to four items:

- Components.
- Environment.
- Assembly protocol.
- Energy.

Components are defined by their properties. Such properties include, but are not limited to, shape, scale, material properties, and communication methods and interaction methods between components and/or their environment.

The environment in which components are subjected to can provide various functionalities, such as a boundary to which components are confined to. The physical and chemical properties of the environmental will also influence the nature in which components interact with one another, as well the way in which components self-assemble.

An assembly protocol defines the methods in which components can self-assemble (e.g., methods of attraction and repulsion). These methods are highly dependent on the scale of the system, as well as the physical and chemical properties of the components and the environment.

In order for the components to self-assemble, the components need to be mobile in their environment. This requires the components to have energy. This can either be available internally or transferred to components, for example, by the environment.

This self-assembly framework should be considered from the viewpoint of specific self-assembling systems. Physical constraints are normal in such systems, as we can observe in nature. A sand dune will only form in specific circumstances; if the wind force is not sufficient, it will not form. However, by continuing to gain a deeper understanding of self-assembly in nature, it can be leveraged for the purposes of design. This of course can be utilised by robotics, and the creation of simple self-assembling mechanical structures (e.g., pivots, joints, and levers), would be a fundamental next step.

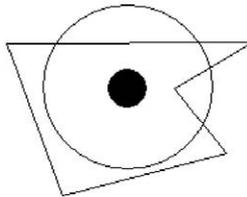
## 7.2 Self-Assembly Illustration

One possible solution to creating simple structures is to utilise the relationship between component shape and an assembly protocol. Here, the relationship is investigated in the context of creating two-dimensional geometric mesoscale self-assembling structures, in a method that could be reduced to nanoscales.

1 Experiments were conducted to investigate whether a set of two-dimensional components (with concave and/or convex polygon shapes), could self-assemble into a desired shape. The assembly process is initiated by placing components on a tray, which is shaken in parallel to the surface of the tray. In this way energy is transferred to the components in the form of vibration, causing the components to move around and interact with one another; and magnetism is used to enable the components to attract and repel one another.

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8 In this context, a component must have two essential properties; the first being the ability to fit together to form the desired shape and the second being the ability to join selectively to corresponding components or not to conflicting components. To achieve the first point, a set of components must include both concave and convex component shapes. By the components' shapes being both concave and convex, components are able to create stronger joints, leading to more stable structures overall, and less likely to break apart when colliding with other components or the sidewalls of the tray, compared to if components' shape were restricted to convex forms only. The second point in this example is achieved by placing a magnet in the interior of a non-magnetic material. The magnets allow components having opposite polarity to attract and assemble together, whereas components having similar polarity will repel each other, and therefore not assemble together. The non-magnetic material is used to determine the polygon form of the components. By not allowing the magnets in the components to join directly together, the components have a higher degree of freedom to move around in the given space and interact with one another. [Figure 6](#) shows the principals behind the design of the components.

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24 The components are placed on a tray, which allows a space in which the component shapes can move around and interact with one another. Movement of the components and their interaction is dictated by two-dimensional rigid body dynamics and magnetism. [Figure 7](#) shows the three stable two-dimensional formations of magnetic discs.



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FIG. 6. Component design. The solid black circle represents the magnetic disc. The outer circle represents the area of the magnetic field. The irregular pentagon represents the non-magnetic material that defines the shape of the component (the left and bottom of the component are the areas not affected by the force of magnetism).



FIG. 7. The three stable two-dimensional formations of magnetic discs: grid (left); chain (centre); and triangular (right).

TABLE I  
EXPERIMENTS

Experiment	Number of component shapes	Symmetric vs. non-symmetric component shapes	Magnetic formations
1. Triangle	4	Symmetric	Triangular
2. Square	4	Non-symmetric	Grid
3. Parallelogram	6	3 sets of 2 of symmetric shapes	Grid and chain
4. Irregular octagon	7	1 set of 4 symmetric shapes, and 3 non-symmetric shapes	Chain and triangular
5. 16-Sided polygon	10	Non-symmetric	Grid, chain, and triangular

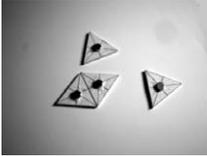
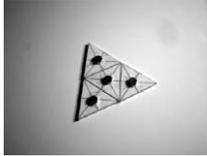
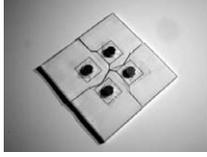
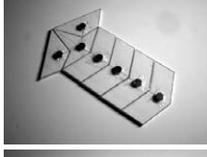
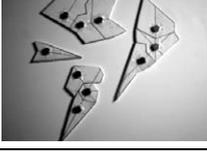
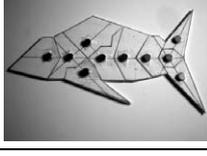
To test the validity of this design, five experiments were conducted. Components were constructed out of foam board, magnetic discs, and scotch tape. The tray was constructed out of foam board, pushpins, and general purpose adhesive. Each of the five experiments had a different number of components and different desired final forms. Symmetric and non-symmetric component shapes, along with the three stable two-dimensional magnetic disc formations, were also tested to see if they had an effect on the self-assembly process. Table I summarises the design and purposes of each of the five experiments.

### 7.2.1 Results

Each of the five experiments were successful in having their set of components self-assemble into their corresponding desired final form. Symmetric systems and systems with a lower number of components were able to self-assemble faster in general, in comparison to non-symmetric systems or systems with a large number of components. Table II shows the results of the five experiments.

These results demonstrate how the relationship between component shape and an assembly protocol can be used to create self-assembling entities of varying form. Although this combination of shape and an assembly protocol (magnetism), does not apply to all scales, it does however suggest that physical (as well as chemical), properties of a system can be leveraged to aide in creating netted systems.

TABLE II  
EXPERIMENT RESULTS

Experiment	Configuration		
	Initial	Intermediate	Final
1. Triangle			
2. Square			
3. Parallelogram			
4. Irregular octagon			
5. 16-Sided polygon			

This relationship of component shape and an assembly protocol allowed for a larger set of feasible self-assembling entities (in the context of the experiment setup and design). In particular, this combination allowed for the exploitation of an effective magnetic force (regions of a component in which the effects of magnetism were subjected to neighbouring components), to create closed self-assembled forms. These forms, in contrast to open forms, do not allow for free components to self-assemble to the entity, when it reaches a particular state. Closed self-assembled forms are of particular interest to self-assembling robotics.

1 Another application of these results is that they demonstrate that physical proper- 1  
2 ties, in this case shape and magnetic attraction/repulsion, can be used as a physical 2  
3 encoding, and as a communication mechanism between components. This concept 3  
4 could also be extended to chemical properties. Physical and chemical properties 4  
5 could be used to replace, simplify, or enhance communication and interaction mech- 5  
6 anisms between modules or robotic-units, in self-assembling robots. 6

7 Understanding and utilising the principles of self-assembly in nature, could be 7  
8 used for the realisation of nanorobots. At the nanoscale, self-assembly is considered 8  
9 as the only viable means of fabricating entities [33]. At this scale, as well as all oth- 9  
10 ers, numerous variables affect the process of self-assembly. Optimisation algorithms 10  
11 can be used to generate the specifications of the components and environment to 11  
12 create self-assembling systems [38]. 12

### 13 14 7.3 Evolutionary Computation Model 14

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16 With its ability to navigate through complex problem spaces, evolutionary com- 16  
17 putation has proven to be an extremely useful approach to solving optimisation 17  
18 problems. In addition, evolutionary computation can be used as a creative tool. This 18  
19 is most notably seen in its ability to generate novel designs [42]. This duality of evo- 19  
20 lutionary computation makes it a prime candidate to be incorporated into a process 20  
21 for designing and physically creating self-assembling systems [38]. 21

22 One embodiment of the framework described earlier (and in [38]) can be described 22  
23 as an eight-step process. These steps include: 23

- 24 1. Define the properties of the desired self-assembling entity. 24
- 25 2. Encapsulate the component design, environment design, and/or construction 25  
26 process (referring to the methodology in which the components and/or envi- 26  
27 ronment would physically be created, e.g., using rapid prototyping techniques). 27  
28 This encapsulated information would be encoded into the genotype and pheno- 28  
29 type representations of the components and environment. 29
- 30 3. Define the translation process in which the computer generated designs can be 30  
31 used to physically create the components and/or environment (e.g., translating 31  
32 the software representations of the components and/or environment to CAD 32  
33 files). 33
- 34 4. Create software that incorporates a computer model using evolutionary com- 34  
35 putation to virtually design and test the candidate components and/or environ- 35  
36 ment, to allow for self-assembly of the components into the desired entity. 36
- 37 5. Execute the software to generate the designs of the components and/or envi- 37  
38 ronment. 38
- 39 6. Execute the translation process of the computer generated component/and or 39  
40 environment designs, to a form that can be used for physical fabrication. 40

- 1 7. Build the components and/or environment. 1
- 2 8. Place the components in their environment to allow for the components to self- 2
- 3 assemble into the desired entity. 3

4  
5 In this evolutionary computation model, the notion of a design space (the set of 5  
6 buildable designs of components and/or environment), is crucial. If this space is ill- 6  
7 defined, it will greatly affect the performance of the software, as well as inhibit the 7  
8 creation of the self-assembling system. 8

9 The encapsulation of a design space is a complicated task. However, it is of great 9  
10 importance, especially in using this process [38] for creating physical system, such 10  
11 as self-assembling robots. Using this process [38] to create simple self-assembling 11  
12 entities with features of simple mechanical machines (e.g., pivots, joints, and levers), 12  
13 would be an important next step, with benefits from the macroscale to the nanoscale. 13  
14 Preliminary results from an implementation of this embodiment, which used a ge- 14  
15 netic algorithm to evolve shapes and a simulator to model their interaction are 15  
16 described in [43]. Work on this area is ongoing by the authors. 16

## 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40

## 8. Conclusions

21 As the development of nanotechnology progresses in several disciplines including 21  
22 physics, chemistry, biology and material science, computer scientists must be aware 22  
23 of their roles and brace themselves for the greater advancement of nanotechnology in 23  
24 the future. This chapter has outlined the development of nanotechnology. It is hoped 24  
25 that this gentle review will benefit computer scientists who are keen to contribute 25  
26 their works to the field of nanotechnology. We also suggested the possible methods 26  
27 that computer science can offer in the task of programming future nanotech, which 27  
28 can benefit other nanotechnologists from other fields by helping them be aware of 28  
29 the opportunities from computer science. 29  
30

31 As computer scientists who are interested in the field of nanotechnology, our 31  
32 current work involves building systems that consist of a large number of particles 32  
33 automatically forming into a designed structure. By using the PPSO algorithm to 33  
34 control the swarm of particles, each particle performs lightweight computations and 34  
35 holds only a few values. It is anticipated that models such as these will lead to suc- 35  
36 cessful bottom-up nanotechnology systems in the future. 36

37 In addition, the principles of self-assembly in nature should be considered when 37  
38 creating self-assembling devices. The general framework presented here (consisting 38  
39 of a set of components, an environment, an assembly protocol, and energy), provides 39  
40 a method for understanding the requirements of a specific self-assembling system. 40

1 There can be no doubt that nanotechnology will play a major role in our future 1  
 2 technology. In this chapter we have outlined some of the ways in which computer 2  
 3 science is assisting this research effort. 3  
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[40] [41]

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