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This appendix contains bibliographic references to publications concerning genetic programming. Some effort has been made to make it as complete as possible but, like every such list, this is practically impossible and the list quickly becomes out of date as the field progresses. Nevertheless we hope that this appendix will prove a useful reference. The list is sorted into principle subject area. Within subject areas, publications are sorted by date (but works by the same author are grouped together).

Where on-line copies are available, the standard bibliographic reference is followed by the address of the on-line version using the *Universal Reference Location* (URL) format. Internet document servers are occasionally unavailable and are sometimes re-organized so documents may be moved to new URLs, therefore a degree of perseverance may be required to obtain on-line copies.

A small number of non-genetic programming papers have been included either for their historical significance or general interest to practitioners of genetic programming. These are marked thus ¹.

B.1 Introductions to Genetic Programming

Koza, J. R. (1992f), "The genetic programming paradigm: Genetically breeding populations of computer programs to solve problems," in *Dynamic, Genetic, and Chaotic Programming*, B. Soucek and the IRIS Group (Eds.), pp 203–321, New York: John Wiley.

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Angeline, P. J. (1995), "Evolution revolution: An introduction to the special track on genetic and evolutionary programming," *IEEE Expert*, 10(3):6–10, Guest editor's introduction.

Angeline, P. J. (1996a), "Genetic programming's continued evolution," in *Advances in Genetic Programming 2*, P. J. Angeline and K. E. Kinneer, Jr. (Eds.), Chapter 1, Cambridge, MA, USA: MIT Press.

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Nachbar, R. B. (1995), "Genetic programming," *The Mathematica Journal*, 5(3):44–55.

B.2 Surveys of Genetic Programming

Angeline, P. J. (1994a), "Genetic programming: A current snapshot," in *Proceedings of the Third Annual Conference on Evolutionary Programming*, D. B. Fogel and W. Atmar (Eds.), Evolutionary Programming Society.

Langdon, W. B. and Qureshi, A. (1995), "Genetic programming – computers using "natural selection" to generate programs," Research Note RN/95/76, University College London, Gower Street, London WC1E 6BT, UK.
<ftp://cs.ucl.ac.uk/genetic/papers/surveyRN76.ps>

B.3 Early Work on Genetic Algorithms that Evolve Programs

Forsyth, R. (1981), "BEAGLE A darwinian approach to pattern recognition," *Kybernetes*, 10:159–166.

Forsyth, R. and Rada, R. (1986), *Machine Learning applications in Expert Systems and Information Retrieval*, Ellis Horwood series in artificial intelligence, Chichester, UK: Ellis Horwood.

Cramer, N. L. (1985), "A representation for the adaptive generation of simple sequential programs," in *Proceedings of an International Conference on Genetic Algorithms and the Applications*, J. J. Grefenstette (Ed.), pp 183–187.
<ftp://ftp.bbn.com/pub/ncramer/gp/icga85.txt>

Schmidhuber, J. (1986), "Der genetische algorithmus: Eine implemetierung in prolog,".

Schmidhuber, J. (1987), "Evolutionary principles in self-referential learning. on learning now to learn: The meta-meta-meta...-hook," Diploma thesis, Technische Universitat Munchen, Germany.

Bickel, A. S. and Bickel, R. W. (1987), "Tree structured rules in genetic algorithms," in *Genetic Algorithms and their Applications: Proceedings of the second International Conference on Genetic Algorithms*, J. J. Grefenstette (Ed.), pp 77–81, MIT, Cambridge, MA, USA: Lawrence Erlbaum Associates.

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De Jong, K. (1987), "On using genetic algorithms to search program spaces," in *Genetic Algorithms and their Applications: Proceedings of the second international conference on Genetic Algorithms*, J. J. Grefenstette (Ed.), pp 210–216, MIT, Cambridge, MA, USA: Lawrence Erlbaum Associates.

B.4 Some Early Genetic Programming References

Koza, J. R. (1989), "Hierarchical genetic algorithms operating on populations of computer programs," in *Proceedings of the Eleventh International Joint Conference on Artificial Intelligence IJCAI-89*, N. S. Sridharan (Ed.), volume 1, pp 768–774, Morgan Kaufmann.

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Koza, J. R. (1990d), "Integrating symbolic processing into genetic algorithms," in *Workshop on Integrating Symbolic and Neural Processes at AAAI-90*, AAAI.

Koza, J. R. (1992e), *Genetic Programming: On the Programming of Computers by Natural Selection*, Cambridge, MA, USA: MIT Press.

Koza, J. R. and Rice, J. P. (1992b), *Genetic Programming: The Movie*, Cambridge, MA, USA: MIT Press.

Koza, J. R. (1991c), "Evolving a computer program to generate random numbers using the genetic programming paradigm," in *Proceedings of the Fourth International Conference on Genetic Algorithms*, R. Belew and L. Booker (Eds.), pp 37–44, Morgan Kaufmann.

Jones, A. (1991), "Writing programs using genetic algorithms," Master's thesis, Department of Computer Science, University of Manchester, United Kingdom.

B.5 GP Techniques and Theory

B.5.1 Different Representations for the Evolving Programs

B.5.1.1 Directed Graph Structured Programs

Teller, A. (1995b), "Language representation progression in genetic programming," in *Working Notes for the AAAI Symposium on Genetic Programming*, E. S. Siegel and J. R. Koza (Eds.), pp 106–113, MIT, Cambridge, MA, USA: AAAI.

B.5.1.2 Strongly Typed GP – Multiple Function and Data Types Within a Program

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B.5.1.3 Using a Fixed or Evolving Syntax to Guide GP Search

Stefanski, P. A. (1993), "Genetic programming using abstract syntax trees," Notes from Genetic Programming Workshop at ICGA-93.

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Iba, H., de Garis, H., and Sato, T. (1995a), "Recombination guidance for numerical genetic programming," in *1995 IEEE Conference on Evolutionary Computation*, Perth, Australia: IEEE Press.

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Wong, M. L. and Leung, K. S. (1995b), "Applying logic grammars to induce sub-functions in genetic programming," in *1995 IEEE Conference on Evolutionary Computation*, Perth, Australia: IEEE Press.

B.5.1.4 Pedestrian GP – Converting a Linear Chromosome to a Program

Banzhaf, W. (1993a), "Genetic programming for pedestrians," MERL Technical Report 93-03, Mitsubishi Electric Research Labs, Cambridge, MA, USA.

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B.5.1.5 Stack Based GP – Linear Chromosome Executed by Stack Based Virtual Machine

Perkis, T. (1994), "Stack-based genetic programming," in *Proceedings of the 1994 IEEE World Congress on Computational Intelligence*, pp 148–153, Orlando, Florida, USA: IEEE Press.

B.5.1.6 Machine Code GP – Linear Chromosome Executed by CPU Directly

Nordin, P. (1994), "A compiling genetic programming system that directly manipulates the machine code," in *Advances in Genetic Programming*, K. E. Kinnear, Jr. (Ed.), Chapter 14, MIT Press.

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B.5.2 GP with other techniques

B.5.2.1 Minimum Description Length

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B.5.2.2 Inductive Logic Programming

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B.5.2.3 Binary Decision Trees

Yangiya, M. (1995), “Efficient genetic programming based on binary decision diagrams,” in *1995 IEEE Conference on Evolutionary Computation*, Perth, Australia: IEEE Press.

B.5.2.4 GP Classifiers

Tufts, P. (1995a), “Dynamic classifiers: Genetic programming and classifier systems,” in *Working Notes for the AAAI Symposium on Genetic Programming*, E. S. Siegel and J. R. Koza (Eds.), pp 114–119, MIT, Cambridge, MA, USA: AAAI.

B.5.3 Functional and Data Abstraction

B.5.3.1 Automatically Defined Functions

Koza, J. R. (1991f), “A hierarchical approach to learning the boolean multiplexer function,” in *Foundations of genetic algorithms*, G. J. E. Rawlins (Ed.), pp 171–192, San Mateo, California, USA: Morgan Kaufmann.

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Evolving ADF Architecture

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B.5.3.2 Module Acquisition as Population Evolves

- Angeline, P. J. (1993), *Evolutionary Algorithms and Emergent Intelligence*, PhD thesis, Ohio State University.
<ftp://nervous.cis.ohio-state.edu/pub/papers/DISS/pja>
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B.5.3.3 Adapting Program Primitives as Population Evolves

Rosca, J. P. and Ballard, D. H. (1994c), "Learning by adapting representations in genetic programming," in *Proceedings of the 1994 IEEE World Congress on Computational Intelligence, Orlando, Florida, USA*, Orlando, Florida, USA: IEEE Press.
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Rosca, J. P. and Ballard, D. H. (1994a), "Genetic programming with adaptive representations," Technical Report TR 489, University of Rochester, Computer Science Department, Rochester, NY, USA.

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B.5.3.4 Abstract Data Types

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B.5.4 Breeding Policies

B.5.4.1 Choosing Programs to Mate Using Geographic Closeness – Demes

Abbott, R. J. (1991), "Niches as a GA divide-and-conquer strategy," in *Proceedings of the Second Annual AI Symposium for the California State University*, A. Chapman and L. Myers (Eds.), California State University.

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<ftp://ftp.io.com/pub/genetic-programming/papers/watphd.tar.Z>

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Acknowledgments

This appendix was created from the GP bibliography which in turn was based upon John Koza's bibliography (published in Genetic Programming II). Over the last year John and I have been greatly assisted in the maintenance and expansion of this bibliography by the subscribers to the genetic-programming electronic mailing list who have contributed the vast majority of the references.

I would like to thank Jarmo Alander, whose own bibliographies have been a great help, David Fogel for advanced information on the ICEC-95 conference and Thomas Haynes and Peter Whigham for contributing to and constructively critising the bibliography.