



# ***C340 Concurrency: Starvation and Deadlocks***

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## ***Goals***

- ***Reader/Writer problem***
- ***Starvation***
- ***Dining Philosophers Problem***
- ***Deadlocks***
- ***Liveness Analysis using LTS***



## Reader / Writer Problem

- *Monitors and Java's synchronize statement guarantee mutual access to objects / methods*
- *Often it is ok for multiple readers to access the object concurrently*
- *Properties required:*

**Demo: Reader/Writer**

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## Read/Write Monitor

```
class ReadWrite {
  private protected int readers = 0;
  private protected boolean writing = false;
  // Invariant: (readers>=0 and !writing) or
  // (readers==0 and writing)
  synchronized public void acquireRead() {
    while (writing) {... wait(); ...} ++readers;
  }
  synchronized public void releaseRead() {
    --readers; if(readers==0) notify();
  }
  synchronized public void acquireWrite() {
    while (readers>0||writing) {... wait(); ...}
    writing = true;
  }
  synchronized public void releaseWrite() {
    writing = false; notifyAll();
  }
}
```

**Starvation**

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## Writer Starvation

- *NotifyAll awakes both readers and writers*
- *Program relies on Java having a fair scheduling strategy*
- *When readers continually read resource: Writer never gets chance to write. This is an example of starvation.*
- *Solution: Avoid writer starvation by making readers defer if there is a writer waiting*

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## Read/Write Monitor (Version 2)

```
class ReadWrite {
  ... // as before
  private int waitingW = 0; // # waiting Writers
  synchronized public void acquireRead() {
    while (writing || waitingW > 0) { ... wait(); ... }
    ++readers;
  }
  synchronized public void releaseRead() { ... }
  synchronized public void acquireWrite() {
    while (readers > 0 || writing) {
      ++waitingW; ... try{ wait(); ... --waitingW; }
      writing = true;
    }
  }
  synchronized public void releaseWrite() { ... }
}
```

**Demo: Reader/Writer v2**

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## Reader Starvation

- *If there is always a waiting writer:  
Readers starve*
- *Solution: Alternating preference between readers and writers*
- *To do so: Another boolean attribute  
readersturn in Monitor that indicates  
whose turn it is*
- *readersturn is set by releaseWrite()  
and cleared by releaseRead()*

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## Read/Write Monitor (Version 3)

```
class ReadWrite {
... // as before
private boolean readersturn = false;
synchronized public void acquireRead() {
    while(writing || (waitingW>0 && !readersturn))
        { ... wait(); ... }
    ++readers;
}
synchronized public void releaseRead() {
    --readers; readersturn=false;
    if(readers==0) notifyAll();
}
synchronized public void acquireWrite() {... }
synchronized public void releaseWrite() {
    writing=false; readersturn=true; notifyAll();
}
}
```

**Demo: Reader/Writer v3**

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## Deadlocks

- **Process is in a deadlock if it is blocked waiting for a condition that will never become true**
- **Process is in a livelock if it is spinning while waiting for a condition that will never become true (busy wait deadlock)**
- **Both happen if concurrent processes and threads are mutually waiting for each other**
- **Example: Dining philosophers**

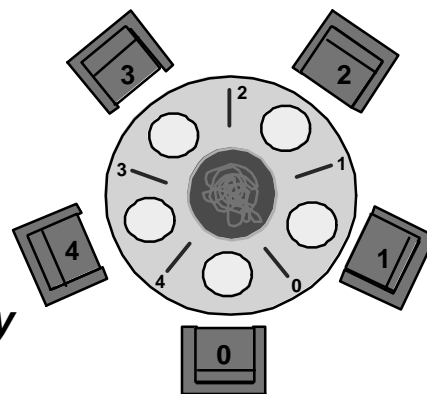
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## Dining Philosopher Problem

- **5 Philosophers sit around table**
- **They think or eat**
- **Eat with 2 chopsticks**
- **Only 5 chopsticks available**
- **Each philosopher only uses sticks to her left and right**



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## FSP Model of Dining Philosophers

```
PHIL=(hungry->left.get->right.get->eating->
      left.put->right.put->thinking->PHIL).
FORK = (left.get-> left.put -> FORK
        |right.get->right.put -> FORK).
|| COLLEGE(N=5)=
(phi[0..N-1]:PHIL||fork[0..N-1]:FORK)
/{phi[i:0..N-1].left/fork[i].left,
  phi[i:0..N-1].right/fork[((i-1)+N)%N].right}.
```

LTSA

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## Dining Philosophers in Java

```
class Philosopher extends Thread {
    int identity;
    Chopstick left; Chopstick right;
    Philosopher(Chopstick left,Chopstick right){
        this.left = left; this.right = right;
    }
    public void run() {
        while (true) {
            try {
                sleep(...);           // thinking
                right.get(); left.get(); // hungry
                sleep(...);           // eating
                right.put(); left.put();
            } catch (InterruptedException e) {}
        }
    }
}
```

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## Chopstick Monitor

```
class Chopstick {
    boolean taken=false;
    synchronized void put() {
        taken=false;
        notify();
    }
    synchronized void get() throws
        InterruptedException
    {
        while (taken) wait();
        taken=true;
    }
}
```

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## Applet for Diners

```
for (int i =0; i<N; ++I)
    // create Chopsticks
    stick[i] = new Chopstick();
for (int i =0; i<N; ++i){
    // create Philosophers
    phil[i]=new Philosopher(
        stick[(i-1+N)%N],stick[i]);
    phil[i].start();
}
```

**Demo: Diners**

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## ***Deadlock in Dining Philosopher***

- ***If each philosopher has acquired her left chopstick the threads are mutually waiting for each other***
- ***Potential for deadlock exists independent of thinking and eating times***
- ***Only probability is increased if these times become shorter***



## ***Analysing cause of Deadlock***

- ***We can use LTS for deadlock analysis***
- ***A dead state in the composed LTS is one that does not have outgoing transitions***
- ***Are these dead states reachable?***
- ***Use of reachability analysis***
- ***Traces to dead states helps understanding the causes of a deadlock***

**LTSA**





## **Deadlock Avoidance**

- ***Deadlock in dining philosophers can be avoided if one philosopher picks up sticks in reverse order (right before left).***

***Demo: Deadlock free Diners***

- ***What is the problem with this solution?***
- ***Are there other solutions?***
- ***Deadlock can also be avoided if there is always one philosopher who thinks***



## **Summary**

- ***Reader / Writer Problem***
- ***Starvation***
- ***Avoidance of Starvation***
- ***Dining Philosophers Problem***
- ***Deadlocks and Livelocks***
- ***Deadlock Avoidance***
- ***Next Session: Safety***