

# COMP1008

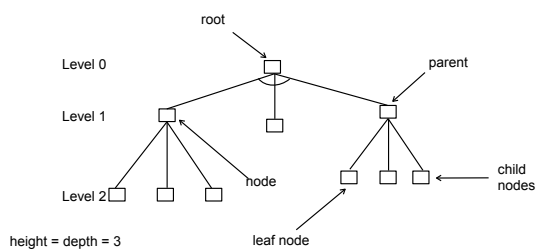
## Implementing Data Structures

### Binary Trees and Hash Tables

### Trees

- Trees are another variation of data structures based on linked elements.
- They use a hierarchical organisation of elements rather than straight chains.

### Trees (2)



### Trees (3)

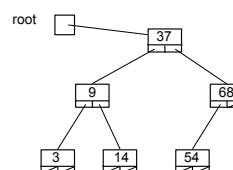
- Crucial properties of Trees:
  - Links only go down from parent to child.
  - Each node has one and only one parent (except root which has no parent).
  - There are no links up the data structure; no child to parent links.
  - There are no sibling links; no links between nodes at the same level.

### Trees (4)

- Trees are immensely useful for sorting:
  - insertion automatically sorts!
- and searching:
  - sorted structure minimises the number of comparisons.

### Ordered Binary Trees

- The simplest kind of tree.



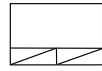
This is a complete binary tree. Each node has a maximum of 2 child nodes.

Nodes are ordered so that left child nodes have a value less than parent, right child nodes greater than or equal to parent.

## Ordered Binary Trees (2)

// A binary tree node  
private static class TreeNode

```
{
    public Node(Comparable o, TreeNode l, TreeNode r)
    { value = o ; left = l ; right = r ; }
    Comparable value ;
    TreeNode left;
    TreeNode right;
    // etc...
}
```



Anything put in a binary tree must be Comparable.

Not a generic class but doesn't need to be as value stored in node must be Comparable.

## Ordered Binary Trees (3)

```
public class BinaryTree
{
    private class TreeNode { ... }
    private TreeNode root = null ;
    public BinaryTree() { ... }
    public void insert(Comparable obj) { ... }
    public void delete(Comparable obj) { ... }
    public boolean includes(Comparable obj) { ... }

    // Iterator(s)
    public Iterator iterator() { ... } // But which order?
    ...
}
```

## Binary Tree Iteration

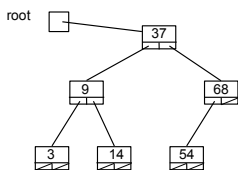
- Four ways of iterating through a tree:
  - In-order.
  - Pre-order.
  - Post-order.
  - Level-order.

## Binary Tree Iteration (2)

- Pre-order, post-order and in-order are related since they just rearrange order of iteration.
  - Depth-first searches.
- Level-order is different.
  - Breadth-first search.

## Binary Tree Iteration (3)

In-order: 3, 9, 14, 37, 54, 68  
Pre-order: 37, 9, 3, 14, 68, 54  
Post-order: 3, 14, 9, 54, 68, 37  
Level-order: 37, 9, 68, 3, 14, 54



## Binary Tree Iteration (4)

In-order iteration:

```
public void inOrder ()
{
    if (left != null) { left.inOrder(); }
    System.out.println(value);
    if (right != null) { right.inOrder(); }
}
```

**Binary Tree Iteration (5)**

Pre-Order Iteration:

```
public void preOrder ()
{
    System.out.println(value);
    if (left != null) { left.preOrder(); }
    if (right != null) { right.preOrder(); }
}
```

**Binary Tree Iteration (6)**

Post-Order Iteration:

```
public void postOrder ()
{
    if (left != null) { left.postOrder(); }
    if (right != null) { right.postOrder(); }
    System.out.println(value);
}
```

**Binary Tree Iteration (7)**

- Level-order iteration.
- Need a queue of nodes:

```
void levelOrder()
{
    create empty queue
    add root node to queue
    while (queue is not empty)
    {
        Node n = get and remove node at front of queue
        print n.value
        add n.left to end of queue
        add n.right to end of queue
    }
}
```

**Binary Tree Iteration (8)**

- Actually need a family of iterator classes and iterator() methods in class BinaryTree.
- But all iterator classes can implement interface Iterator.
- Once specific iterator is selected, client code doesn't need to know which kind it is.
  - Programming to an interface.

**Searching Ordered Binary Tree**

- Use node value to determine whether to go left or right.

```
boolean search(int n)
{
    if (value == n) {return true;}
    if ((value < n) && (left != null))
        {return left.search(n);}
    if ((value >= n) && (right != null))
        {return right.search(n);}
    return false;
}
```

**More Trees**

- Only looked at basic binary trees,
- But there are many more kinds
  - AVL trees
  - Balanced trees
  - etc.
- See text book.

## Questions?

## Map

- In mathematics a map (aka function) relates members of one set to members of another set:

$$m : X \rightarrow Y$$

## Arrays

- Arrays (and ArrayLists) are implementations of maps:

$$\text{array} : \text{int} \rightarrow Y$$

- For example:

```
char array[20];
array[3] = 'c';
array[5] = 'w';
```

## Generalise: Keys and values

$$m : X \rightarrow Y$$

$\uparrow$   
Key

$\uparrow$   
Value

- For example:
  - Key type String.
  - Value type PhoneNumber.
  - Mapping from names to phone numbers.

## Hash Table

- An structure that implements a map from any class type to any class type.

- For example:

```
map : String → Colour
Colour c = (Colour)a.get("green");
```

- Need a data structure to store mapping.
  - Want  $O(1)$  access.

## Mapping

- Want to implement a generalised mapping, so:
  - Set up a mapping from the key to an int value,
  - and then use the int as an array index.

$$G : X \rightarrow \text{int}$$

$$H : \text{int} \rightarrow Y$$

$$m = H \circ G$$

## Hash Function

- Use a hash function to map the search key into an integer that can be used as an index into the array:  

$$\text{int hash}(X \text{ key});$$
- The hash function must:
  - return an integer within the array bounds of the storing array.
  - map keys consistently and evenly to the integers.
    - Don't want too many keys mapping to same integer.
  - be quick to calculate.
- Hard to write a good hashing function.

## Hash Function example

- Consider the case where keys are strings.
- Need a mapping from the string to an integer array index.
- If we use characters as the key then:  

$$\text{int key} = (\text{key}[0] + 3 * \text{key}[1]) \% \text{tableSize}$$
- is a possible hash function.

## Hash Function (3)

- Hashing is so important that in Java every object has a hash code to enable easy storage in hash tables and other data structures.
- See the method *hashCode* implemented by all objects.
  - Inherited from Object.

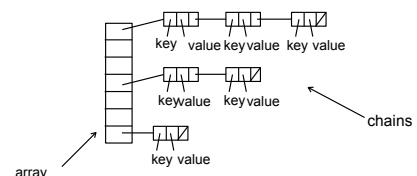
## Hash Function (4)

- Given that there are more keys than array entries, there will be “multiple hits” or collisions.
  - The hash function will return the same integer for a number of keys.
- Need a mechanism for handling this.

## Chained Hashing

- The hash table is an array of linked nodes (like linked lists).
- The first stage of search is to use hash function to access array element.
- The second stage of search is a linear search along the linked chain of nodes at array element.
- The chains allow for overflow when hash values collide.

## Chained Hashing (2)



A good hash function keeps chains even lengths, otherwise table turns into a linked list...

### Chain Node Class

```
private static class Node
{
    public Node next ;
    public Object key ;
    public Object val ;
    etc.
}
```

Non-generic version using  
Object references.

Like a LinkedList node,  
but with an extra field.  
Rest of class is a  
simplified list class.

### Hash Table class

```
class HashTable
{
    private static class Node { ... }
    private Node[] table =
        new Node[tableSize] ;
    ...
}
```

Hash table has a  
fixed size array of  
nodes.

### Chained Hashing (3)

- Values are inserted by:
  - Hashing key and performing array index.
  - Creating new node.
  - Inserting new node at head of chain.
- Look-up:
  - Hash key and perform array index.
  - Linear search of chain to find node with matching key.
  - Return value from node.
- Allows duplicate key/values pairs to exist.

### Open Hashing (1)

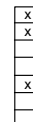
- Have seen linked lists used as the overflow technique in an hash table.
- There is one other major technique for handling hash collisions: open hashing.
  - Also known as linear probing.

### Open Hashing (2)

- The array holds the data itself (object reference), not chains of nodes holding the data.
- If the slot determined by the hash function is full, linearly search down the array for the next empty slot.

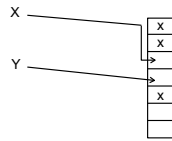
### Open Hashing (3)

Node array with  
some elements  
used (marked x).



## Open Hashing (4)

Y can be inserted directly, but X collides so a search is made along the array for an unused element.



## Open Hashing (5)

- Can do this linearly, e.g. step by 1 if there is a clash.
- Can also do this quadratically, or even exponentially.
- But number of elements that can be stored is limited by array size.

## Hash Table Summary

- Various implementations.
- Maps one type to another.
- Widely used, useful data structure.
- $O(1)$  access and update.

## Example code

- See the 1008 web page for example code for a Linked List, Binary Tree and Chained Hash Table.
- Make sure you study this code and understand how it works.
- See Part II of text book for in-depth description of data structures.
- See Java Collections Framework for classes provided with Java.

## You Should...

- Understand the principles of lists, trees and hash tables.
- Understand iterators.
- Be able to implement straightforward list, binary tree and hash table classes.
- Be able to write code that uses chains or trees of element/node objects.
- Be able to select the right data structure for the job in hand.

## Summary

- Looked at the key data structures:
  - List
  - Tree
  - Hash Table (Map)
- All rely on object references (pointers).
- Have different performance properties.