SAT Instances for Termination Analysis with AProVE*

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Abstract. Recently, SAT solving has become the backbone for tackling the search problems in automated termination analysis for term rewrite systems and for programming languages. Indeed, even since the last SAT competition in 2007, many new termination techniques have been published where automation heavily relies on the efficiency of modern SAT solvers. Here, a successful satisfiability proof of the SAT instance results in a step in the modular termination proof and simplifies the termination problem to be analyzed.

The present SAT benchmark submission was created using the automated termination prover AProVE. The CNFs stem from termination proof steps using various recent termination techniques. All instances of this submission are satisfiable, and any speed-up for SAT solvers on these instances will directly lead to performance improvements also for automated termination provers.

1 Introduction

Termination is one of the most important properties of programs. Therefore, there is a need for suitable methods and tools to analyze the termination behavior of programs automatically. In particular, there has been intensive research on techniques for termination analysis of term rewrite systems (TRSs) [2]. Instead of developing many separate termination techniques for different programming languages, it is a promising approach to transform programs from different languages into TRSs instead. Then termination tools for TRSs can be used for termination analysis of many different programming languages, cf. e.g. [11,19,20].

The increasing interest in termination analysis for TRSs is also demonstrated by the *International Competition of Termination Tools*, held annually since 2004. Here, each participating tool is applied to the examples from the *Termination Problem Data Base (TPDB)* and gets 60 seconds per termination problem to prove or disprove termination. Thus, in order for a termination prover to be competitive, one needs efficient search techniques for finding termination (dis)proofs automatically.

^{*} Description of benchmark instances submitted to the SAT Competition 2009.

¹ See http://termination-portal.org/wiki/Termination_Competition.

² The current version 5.0.2 of this standard database for termination problems is available at http://dev.aspsimon.org/projects/termcomp/downloads/.

However, many of the arising search problems in automated terminating analysis for TRSs are NP-complete. Due to the impressive performance of modern SAT solvers, in recent years it has become common practice to tackle such problems by encoding them to SAT and by then applying a SAT solver on the resulting CNF. This way, performance improvements by orders of magnitude over existing dedicated search algorithms have been achieved, and also for new termination techniques, SAT solving is the method of choice for automation (cf. e.g. [3,4,5,6,7,8,9,14,16,17,21,23]).

Nowadays, techniques like the *Dependency Pair framework* [1,12,13,15] allow for *modular* termination proofs. This means that it is not necessary to show termination of a term rewriting system in a single proof step, but instead one can show termination of the different functions of the system *separately* and *incrementally*. In this setting, one can use SAT solving in such a way that a successful satisfiability proof of the encoded SAT instance results in an incremental step in the modular termination proof which allows to simplify the termination problem to be analyzed.

On the other hand, also speed-ups on unsatisfiable instances are beneficial for automated termination analysis. The faster one finds out that a particular termination technique does not succeed on a given termination problem (e.g., by a SAT solver returning UNSAT for an encoding of this technique for the termination problem), the more time is left to apply other techniques from the plethora of available termination analysis methods.

Nevertheless, this benchmark submission only contains satisfiable instances which contribute directly to successful termination proofs.

2 Benchmark Instances

The present SAT benchmark submission was created using the automated termination prover AProVE [10], which can be used to analyze the termination behavior of term rewriting systems, logic programs [20], and Haskell 98 programs [11].

AProVE was the most powerful termination prover for TRSs in all the termination competitions from 2004-2008. In AProVE, SAT encodings are performed in two stages:

- 1. First, the search problem is encoded into a propositional formula with arbitrary junctors. The formula is represented via a directed acyclic graph such that identical subformulas are shared.
- 2. Afterwards, this propositional formula is converted into an equisatisfiable formula in CNF. This is accomplished using SAT4J's [18] implementation of Tseitin's algorithm [22].

The submitted CNFs are named AProVE09-n.dimacs. For the analyzed termination problems from the TPDB, Fig. 1 provides details on the encoded termination technique and on the termination problem for each n.

Fig. 1. Details on the submitted SAT instances from TPDB problems

n Encoded technique	Termination problem
01 Recursive Path Order [3,4,21]	TRS/Cime/mucrl1.trs
02 Recursive Path Order [3,4,21]	TRS/TRCSR/inn/PALINDROME_complete_noand_C.trs
03 Recursive Path Order [3,4,21]	TRS/TRCSR/PALINDROME_complete_iGM.trs
04 Matrix Order [5,16]	SRS/secret06/matchbox/3.srs
05 Matrix Order [5,16]	SRS/Trafo/hom01.srs
06 Matrix Order [5,16]	SRS/Waldmann07b/size-12-alpha-3-num-535.srs
07 Matrix Order [5,16]	SRS/Zantema/z049.srs
08 Matrix Order [5,16]	SRS/Zantema/z053.srs
09 Matrix Order [5,16]	TRS/secret05/cime5.trs
10 Polynomial Order [6]	TRS/CSR_Maude/bool/RENAMED-BOOL_nokinds.trs
11 Max-Polynomial Order [7]	TRS/secret05/cime1.trs
12 Max-Polynomial Order [7]	TRS/Zantema/z09.trs
13 Non-Monotonic Max-Pol. Order [7]	TRS/aprove08/log.trs
14 Rational Polynomial Order [9]	SRS/Zantema/z117.srs
15 Rational Polynomial Order [9]	TRS/endrullis08/morse.trs
16 Rational Polynomial Order [9]	TRS/SchneiderKamp/trs/thiemann17.trs
17 Rational Polynomial Order [9]	TRS/TRCSR/inn/Ex49_GM04_C.trs
18 Rational Polynomial Order [9]	TRS/TRCSR/inn/Ex5_DLMMU04_C.trs
19 Bounded Increase [14]	TRS/SchneiderKamp/trs/cade14.trs
20 Arctic Matrix Order [17]	SRS/Endrullis/04.srs
21 Arctic Matrix Order [17], alt. enc.	SRS/Endrullis/04.srs

For termination analysis, TRSs are a very suitable representation of algorithms on user-defined data structures. However, another main challenge in termination analysis of programs are algorithms on pre-defined data types like integers. Using standard representations of integers as terms leads to problems in efficiency and power for termination analysis with termination tools for TRSs.

Therefore, very recently we extended TRSs by built-in integers [8]. This combines the power of TRS techniques on user-defined data types with a powerful treatment of pre-defined integers. To automate the corresponding constraint-based termination techniques for this new formalism in AProVE, we again perform a reduction to SAT. For the empirical evaluation of these contributions, we collected a set of integer termination problems from the literature and from applications. This collection can be found on the web page of the evaluation at http://aprove.informatik.rwth-aachen.de/eval/Integer/.

Fig. 2 again provides details on the technique and on the analyzed problems.

3 Conclusion

SAT solving has become a key technology for automated termination provers. Thus, any improvements in efficiency of SAT solvers on the submitted SAT instances will also have a direct impact on efficiency and power of the respective termination tool.

Fig. 2. Details on the SAT instances from Integer TRSs

n	Encoded technique	Termination problem
22	Integer Max-Polynomial Order [8]	Beerendonk/19.itrs
23	Integer Max-Polynomial Order [8]	CADE07/A14.itrs
24	Integer Max-Polynomial Order [8]	patrs/pasta/a.10.itrs
25	Integer Max-Polynomial Order [8]	VMCAI05/poly4.itrs

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